

October 23, 2018

To,  
BSE Ltd  
Listing Compliance Cell,  
Jeebhoy Tower, Dalal Street,  
Fort, Mumbai 400001.

Kind Attn: Mr. Parin

**Sub: Intimation of Change in Category of Two Promoters shareholding from Resident Individual to Non Resident Indian Individual (Non-repatriation Basis)**

Dear Sir,

We have received a letter from two of the dominant promoters namely Mr. Narendra Hira Advani who holds 428865 shares & Mrs. Shalini Narendra Advani who holds 19100 shares. As they have acquired Citizenship of United States of America (USA) they have changed the category in the Depository as Non Resident Non repatriable (NR-NR).

As per RBI FED Master Direction No. 11/2017-18 dated January 4, 2018 updated as on April 6, 2018 a person resident outside India may hold security in India on Non- repatriation basis

**“Quote”**

**“1.3 A person resident outside India may hold, own, transfer or invest in a security in India if such security was acquired, held or owned by such person when he was resident in India or inherited from a person who was resident in India. Such investment will be held by such person on a non-repatriation basis”**

**‘Unquote’**

We also state that due to change in category there is no change in the promoter holding of the securities.

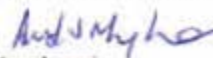
As regards the same please find enclosed the following documents :

1. Letter received by both the dominant promoter Mr. Narendra Hira Advani & Shalini Narendra Advani
2. Copy of Passport (USA)
3. Copy of Indian PAN Card
4. Copy of Driving License as permanent proof of address
5. Depository category change proof

Kindly take the same on record and oblige.

Thanking You,

For Modern Shares and Stockbrokers Limited

  
Anil S Manghnani  
Whole Time Director  
DIN No : 00012806

## NARENDRA HIRA ADVANI

Address: 2142 Bellview Drive, Palo Alto CA 94303, USA.  
Email [ld.narendra.advani@gmail.com](mailto:ld.narendra.advani@gmail.com)

October 6, 2018

To,  
MODERN SHARES AND STOCKBROKERS LIMITED  
(CIN No: L45200MH1939PLC002958)  
Wankhede Stadium North Stand, L and M Wings,  
D Road Churchgate Mumbai - 400020

Dear Sir,

**Sub: Change in Category from Indian Promoter to Foreign Promoter Non Resident Indian on Non Repatriation Basis.**

**Re: 4,28,865 Equity Shares of Modern Shares & Stockbrokers Limited held in Demat A/c No. DP ID.IN301330 Client ID.21047574 with SHCIL.**

I am one of the Promoters Group of your company, holding 4,28,865 Equity Shares which was acquired partly from inheritance and partly I have invested while I was an Indian Citizen. Now I have acquired Citizenship of United States of America (USA) and have settled in USA and presently my Residential Status in India has been changed to **NON RESIDENT INDIANS (NRI)**. I have accordingly informed the depository in which I am holding Demat account to change the category of My Residential Status to **Non Resident Indian on Non Repatriation Basis.**

As per RBI FED Master Direction No. 11/2017-18 dated January 04, 2018 updated as on April 06, 2018 a person resident outside India may hold security in India on Non –repatriation basis.

'Quote'

***"1.3 A person resident outside India may hold, own, transfer or invest in a security in India if such security was acquired, held or owned by such person when he was resident in India or inherited from a person who was resident in India. Such investment will be held by such person on a non-repatriation basis."***

'Unquote'

Please find enclosed herewith my following document for your necessary action.

1. Copy of Passport (USA)
2. Copy of Indian PAN card
3. Copy of Driving License as permanent proof of address
4. Copy of Utility Bill as present proof of address.

**I request you to kindly change the shareholding under Indian Category to Promoter under Foreign Category under Non Resident Indian on Non-Repatriation basis.**

Kindly acknowledge the receipt

Yours faithfully,

*N. H. Advani*

(NARENDRA ADVANI)  
Encl: as above

CC: BSE Ltd, Listing Compliance Cell, Jeejeebhoy Tower, Dalal Street, Fort, Mumbai - 400001.

**SHALINI NARENDRA ADVANI  
NARENDRA HIRA ADVANI**

Address: 2142 Bellview Drive, Palo Alto CA 94303, USA.  
Email [ld.narendra.advani@gmail.com](mailto:ld.narendra.advani@gmail.com)

October 6, 2018

To,  
MODERN SHARES AND STOCKBROKERS LIMITED  
(CIN No: L45200MH1939PLC002958)  
Wankhede Stadium North Stand, L and M Wings,  
D Road Churchgate Mumbai - 400020

Dear Sir,

**Sub: Change in Category from Indian Promoter to Foreign Promoter Non Resident Indian on Non Repatriation Basis.**

**Re: 19,100 Equity Shares of Modern Shares & Stockbrokers Limited held in Demat A/c No. DP ID.IN301330 Client ID.21068289 with SHCIL.**

We are one of the Promoters Group of your company, holding 19,100 Equity Shares which was acquired while we were Indian Citizen. Now we have acquired Citizenship of United States of America (USA) and have settled in USA and presently our Residential Status in India has been changed to **NON RESIDENT INDIANS (NRI)**. We have accordingly informed the depository in which we are holding Demat account to change the category of our Residential Status to **Non Resident Indian on Non Repatriation Basis**.

As per RBI FED Master Direction No. 11/2017-18 dated January 04, 2018 updated as on April 06, 2018 a person resident outside India may hold security in India on Non -repatriation basis.

'Quote'

*"1.3 A person resident outside India may hold, own, transfer or invest in a security in India if such security was acquired, held or owned by such person when he was resident in India or inherited from a person who was resident in India. Such investment will be held by such person on a non-repatriation basis."*

'Unquote'

Please find enclosed herewith our following document for your necessary action.

1. Copy of passport (USA)
2. Copy of Indian PAN card
3. Copy of Driving License as permanent proof of address
4. Copy of Utility Bill as present proof of address.

We request you to kindly change the shareholding under Indian Category to Promoter under Foreign Category under Non Resident Indian on Non-Repatriation basis.

Kindly acknowledge the receipt

Yours faithfully,

*Shalini Advani*

*x NHA 11.11. Advani*

(SHALINI ADVANI) (NARENDRA ADVANI)

Encl: as above

CC: BSE Ltd., Listing Compliance Cell, Jeejeebhoy Tower, Dalal Street, Fort, Mumbai - 400001.



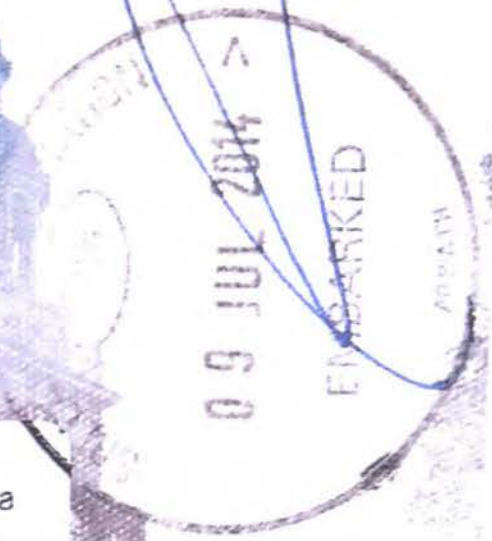


the wise and honest ear repair.  
George Washington  
Subject to Reg. 12  
Immigration Regulations

05 AUG 2015

PERMITTED TO ENTER AND  
REMAIN IN THE COUNTRY  
FOR A PERIOD OF 02 DAYS

Visas



Immigration and Emigration Sri Lanka  
BIA

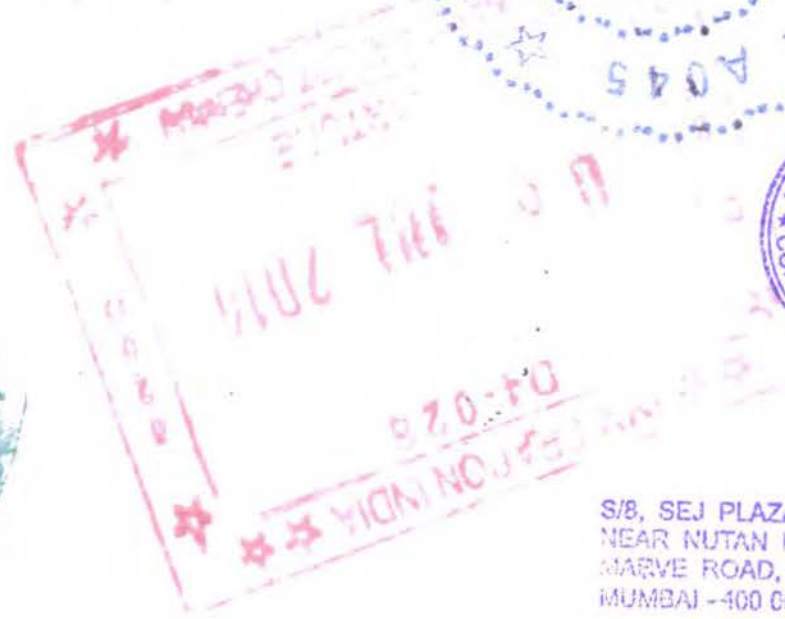
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7/5-20 days

1st-VISIT

EXP-11/07/2014

09/07/2014-17 54



S/8, SEJ PLAZA, 2nd FLOOR,  
NEAR NUTAN HIGH SCHOOL,  
MARVE ROAD, MALAD (WEST),  
MUMBAI - 400 064.

आयकर विभाग  
INCOME TAX DEPARTMENT

भारत सरकार  
GOVT OF INDIA

NAKENDRA HIRA ADVANI  
HIRA ADVANI  
20/09/1966

Permanent Account Number  
AACPA2145G

*N.H. Advani*  
Signature



*x Navendra*

*N.H. Advani*

आयकर विभाग  
INCOME TAX DEPARTMENT

भारत सरकार  
GOVT OF INDIA

SHALINI ADVANI  
KUNDAK THADANI

04/02/1971  
Permanent Account Number  
AADP0747N

*Shalini Advani*  
Signature



*xShalini*  
*Shalini Advani*



**CALIFORNIA DRIVER LICENSE**

DL **A4572633**

CLASS C  
END NONE

EXP **09/20/2022**

LN **ADVANI**

FN **NARENDRA HIRA**

2142 BELLVIEW DRIVE  
PALO ALTO, CA 94303

DOB **09/20/1966**

RSTR NONE

09201966

SEX M HAIR BLK EYES BRN  
HGT 6'-02" WGT 200 lb ISS 07/22/2017  
DD 06/07/2007548RB/DDFD/22

*N. V. Advani*

17206A45726330401

CLASS: C - Veh w/GWR ≤26000, No MC  
ENDORSEMENTS: None  
RESTRICTIONS: None

This license is issued as a license to drive a motor vehicle; it does not establish eligibility for employment, voter registration or public benefits.

092066 *N. V. Advani*

Rev 04/16/2010

*N. V. Advani*



S/8, SEJ PLAZA, 2nd FLOOR,  
NEAR NUTAN HIGH SCHOOL,  
MARVE ROAD, MALAD (WEST),  
MUMBAI - 400 064.

# CALIFORNIA

## DRIVER LICENSE



DL F8163690

EXP 02/04/2019

LN ADVANI

FN SHALINI NARENDRA

2142 BELLVIEW DR  
PALO ALTO, CA 94303

DOB 02/04/1971

RSTR CORR LENS

CLASS C  
END NONE

02041971

*Shalini Advani*

SEX F

HAIR BRN

EYES BRN

HGT 5'-05"

WGT 145 lb

ISS

DD 10/13/201454844/AAFD/19

10/13/2014



*Shalini Advani*



# STOCK HOLDING CORPORATION OF INDIA LTD.

RAHEJA CHAMBER, OFFICE NO.15,GR FLR,

NARIMAN POINT, MUMBAI

Pin :400021 Phone : 022 -22884685/87 Fax : 22818624

DP Id IN301330

## CLIENT MASTER REPORT

Account Details					
Client Id	21047574	Stand. Ins.	Y	Short Name	MUMBAI1066852
A/c Sub Category		BSDA FLG	N	A/c Category	Beneficiary
Occupation	Business	RGESS FL	N	A/c Opening dt.	08 FEB 2011
Status	ACTIVE			Branch Code	MUMBAI
Close/Susp.Dt				Type & Sub Type	Non Resident Indian(NRI)-Non Repatriable
Status Change Reason				Email Address	
Personal Details		Holder's Name		Father's/Husband's Name	
1.	NARENDRA H ADVANI			HIRA K ADVANI	
2.					
3.					
Correspondence Address			Permanent Address		
40 CCI CHAMBERS DINSHA WACHA ROAD CHURCHGATE MUMBAI			40 CCI CHAMBERS DINSHA WACHA ROAD CHURCHGATE MUMBAI		
Pin Code.	400020		Pin Code.	400020	
Ph No.	022 42122400	Fax No.	022 42122441	Ph No.	022 42122400
				Fax No.	022 42122441
Foreign Address					
40 CCI CHAMBERS DINSHA WACHA ROAD CHURCHGATE MUMBAI					
Pin	400020	Ph No.	022 42122400	Fax No.	022 42122441
Nominee Details		Nominee Registration No.:		Nominee Address	
1	SHALINI N ADVANI	40 CCI CHAMBERS	388132	DINSHA WACHA ROAD CHURCHGATE	MUMBAI 400020
2					
3					
Financial Details					
Bank Acct Type	Savings	IFSC Code	UTIB0000233		
Bank Account No	233010100094133	Micr No.	400211026		
Bank Name	AXIS BANK LTD	Branch	NEW MARINE LINES BRANCH		
Bank Address	CAURT CHAMBERS 35 SIR VITHALDAS THAKASEY MARG NEW MARIENLINES MUMBAI 400020	SEBI Reg.No			
		RBI Approval Date	11 OCT 2018		
		RBI Ref. No	UNDER GENERAL PERMISSION		
		Tax Ded. Status			
Other Details					
	Holder 1		Holder 2		Holder 3
PAN	AACPA2145G		PAN		PAN
Mobile No	9619911042		Mobile No		Mobile No
Pan Flag	Verified		Pan Flag		Pan Flag
SMS Facility	Available		SMS Facility		SMS Facility
Freeze Details					
POA Detail					
POA ID	4004656	POA Name	ANIL G JANI	No of Auth Sign Reqd	POA status Active



# STOCK HOLDING CORPORATION OF INDIA LTD.

12/14, UTI BLDG BANK STREET CROSS LANE

NEAR OLD CUSTOM HOUSE FORT

Pin :400023 Phone : 022-22622677 Fax : 22622756

DP Id IN301330

## CLIENT MASTER REPORT

Account Details					
Client Id	21068289	Stand. Ins.	Y	Short Name	MUMBAI1068806
A/c Sub Category		BSDA FLG	N	A/c Category	Beneficiary
Occupation	Business	RGESS FL	N	A/c Opening dt.	05 APR 2011
Status	ACTIVE			Branch Code	MUMBAI
Close/Susp.Dt				Type & Sub Type	Non Resident Indian(NRI)-Non Repatriable
Status Change Reason				Email Address	
Personal Details		Holder's Name		Father's/Husband's Name	
1.	SHALINI ADVANI			KUNDAN THADANI	
2.	NARENDRA HIRA ADVANI			HIRA ADVANI	
3.					
Correspondence Address			Permanent Address		
40 CCI CHAMBERI DINSHA WACHA ROAD CHURCHGATE MUMBAI			40 CCI CHAMBERI DINSHA WACHA ROAD CHURCHGATE MUMBAI		
Pin Code.	400020	Pin Code.	400020	Ph No.	22873866
Ph No.	22873866	Fax No.		Fax No.	
Foreign Address					
40 CCI CHAMBERI DINSHA WACHA ROAD CHURCHGATE Pin 400020			MUMBAI Ph No. 22873866 Fax No.		
Nominee Details		Nominee Registration No.:		Nominee Address	
1	REHAAN ADVANI	40,CCI CHAMBERS	394467	DINSHA WACHA ROAD CHURCHGATE	MUMBAI 400020
2					
3					
Financial Details					
Bank Acct Type	Savings			IFSC Code	
Bank Account No	233010100158718			Micr No.	
Bank Name	UTI BANK LTD			Branch	NEW MARINE LINES BRANCH
Bank Address	COURT CHAMBERS 35 SIR VITHALDAS THACKERSEY MARG NEW MARINE LINES MUMBAI 400020			SEBI Reg.No	
				RBI Approval Date	11 OCT 2018
				RBI Ref. No	UNDER GENERAL PERMISSION
				Tax Ded. Status	
Other Details					
	Holder 1		Holder 2		Holder 3
PAN	AADPT0747N	PAN	AACPA2145G	PAN	
Mobile No	9619911041	Mobile No	9619911042	Mobile No	
Pan Flag	Verified	Pan Flag	Verified	Pan Flag	
SMS Facility	Available	SMS Facility	Available	SMS Facility	
Freeze Details					
POA Detail					
POA ID	POA Name	No of Auth Sign Reqd	POA status	Inactive	