

241, Okhla Industrial Estate, Phase III New Delhi - 110 020, India Tel: +91-11-3065 9400, 4161 2456 Tele Fax: +91-11-2693 5697 E-mail: corporateoffice@dhampur.com Website: www.dhampur.com

11-10-2018

To,

Asst. Vice President National Stock Exchange of India Ltd. Exchange plaza,Bandra Kurla Complex Bandra (E) <u>Mumbai - 400 051</u>

Asst. General Manager Dept of Corp. Services, BSE Limited P.J. Towers, Dalal Street, Fort, <u>Mumbai: 400001</u>.

Dear Sir,

Sub : Certificate under Regulation 40 of SEBI (Listing Obligations and Disclosure Requirements) Regulations , 2015.

Please find enclosed herewith Certificate as required under regulation 40 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2018.

You are requested to take the information on record.

Delhi

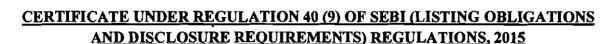
Thanking you,

Yours Faithfully,

For Dhampur Sugar Mills Limited

Aparna Goel Company Secretary M. No.- 22787

CIN : L15249UP1933PLC000511 Regd. Office: Dhampur, Dist. Bijnor, Pin Code: 246 761 (U.P.) Branch Office: 1/125, Vijay Khand, Gomti Nagar, Lucknow - 226 010 (U.P.), Tel.: +91-522-239243 Sugar Units : 1. Dhampur, 2. Mansurpur, 3. Asmoli, 4. Rajpura, 5. Meerganj Distillery : Asmoli & Dhampur



FOR THE HALF YEAR ENDED SEPTEMBER 30, 2018

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents of Dhampur Sugar Mills Limited, having registered office at Dhampur, Distt Bijnore (UP) maintained by M/s Alankit Assignments Limited (Share Transfer Agent of Dhampur Sugar Mills Limited), having office at Jhandewalan Extn. New Delhi pertaining to transfer of equity shares of the company from April 1, 2018 to September 30, 2018 for the purpose of issuing Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the Share Transfer Agent, we hereby certify that the Share Transfer Agent has delivered during half year ended on September 30, 2018:

- A) Share Certificate(s) relating to the transfer of shares received during the period from April 1, 2018 to September 30, 2018 as entered in the Memorandum of Transfers, have been issued within stipulated time for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- **B**) During the period, duplicate share certificates were issued in 05 (Five) cases containing 685 shares within stipulated time.
- C) No other information.

GSK & ASSOCIATES

Company Secretaries

For GSK & Associates Company Secretories

Saket Sharma (Partner) C.P. No: 2565 M. No: F4229 Date: 11.10.2018 Place: Kanpur