

# SWARAJ AUTOMOTIVES LIMITED

**Works :**  
Kakrala Rd. Nabha-147201  
Distt. Patiala, Punjab (INDIA)  
Ph.: 01765-222163 & 221286  
E-mail : info@swarajautomotive.com  
CIN : L45202PB1974PLC003516



02/SP/BSE  
12<sup>th</sup> October, 2018

**The General Manager  
Corp. Relationship Deptt.  
BSE Ltd.**  
1<sup>st</sup>. Floor, New Trading Ring,  
Rotunda Building, P J Towers,  
Dalal Street, Fort, Mumbai

**Sub: Certificate from Practicing Company Secretary u/r 40 (9) of SEBI (LODR) regulations for half year ended 30<sup>th</sup> September, 2018**

Dear Sir,

Pursuant to Regulation 40 (9) of SEBI (LODR) Regulations, please find enclosed herewith a certificate from A. Arora & Co., Practicing Company Secretaries, for half year ended 30<sup>th</sup> September, 2018.

Kindly take the above information on your record.

With regards,

**For Swaraj Automotives Limited**

  
**(Gagan Kaushik)  
Company Secretary**

Encl: As above

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**Works Dharwad:** 183 / I, Belur Industrial Area, Dharwad, Distt. Dharwad, Karnataka -580011

**Regd. Office :** C-127, IV Floor, Satguru Infotech, Phase - VIII, Industrial Area, SAS Nagar (Mohali), Punjab - 160071

**Website :** www.swarajautomotive.com



**AJAY K. ARORA**  
LL.B., FCS, IP

GST : 04ADSPA8498H1Z3

**A. ARORA & CO.**

*Company Secretaries*  
&  
*Insolvency Professional*

S.C.O. 64-65, 1ST FLOOR,  
SECTOR 17-A, MADHYA MARG,  
CHANDIGARH-160 017  
Ph.: (O) 2701906  
MOBILE : 98140-06492  
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**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Swaraj Automotives Limited** maintained by M/s. MCS Share Transfer Agent Limited, Srivenkatesh Bhawan, F-65, 1<sup>st</sup> Floor, Okhla Industrial Area, Phase-1, New Delhi - 110020 pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- During the half year ended on 30<sup>th</sup> September, 2018, all Share Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange excepting those rejected on technical grounds.

Date : 11.10.2018

Place : Chandigarh



**AJAY K ARORA**

**C.P. No. : 993**