



LIL:CS: REG.40:2018-19

13-10-2018

BSE Limited
1st Floor, Rotunda Building
P.J. Towers, Dalal Street, Fort
Mumbai - 400 001.

The National Stock Exchange of India Ltd.
Listing Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai-400051

Company Code – 517206

Company Code – LUMAXIND

Sub.: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Sir/Ma'am,

Please find enclosed Compliance Certificate received from Mr. Maneesh Gupta, Practicing Company Secretary, New Delhi under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2018.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For LUMAX INDUSTRIES LIMITED

Ankita Gupta

ANKITA GUPTA
COMPANY SECRETARY
M.NO. A50166



Encl: As above

CIN: L74899DL1981PLC012804

Lumax Industries Limited
Plot No. 16, Sector-18,
Maruti Complex,
Gurugram - 122015
Haryana, India

T +91 124 2341090, 2341324, 2397298, 2341328
E lil.ho@lumaxmail.com

www.lumaxworld.in

Lumax Industries Limited - REGD. OFFICE : 2nd Floor, Harbans Bhawan-II, Commercial Complex, Nangal Raya, New Delhi - 110046
T - +91 11 4985 7832, E - cao@lumaxmail.com

DK JAIN
GROUP

Maneesh Gupta
Company Secretary

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

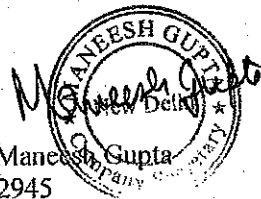
FOR THE HALF YEAR ENDED SEPTEMBER 30, 2018

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Lumax Industries Limited maintained by Karvy Computershare Private Limited pertaining to transfer of equity shares of the Company for the period from April 1, 2018 to September 30, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on September 30, 2018:

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period April 1, 2018 to September 30, 2018 as entered in the Memorandum of Transfers have been issued within one month from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate, split, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies have been issued within one month of lodgment provided all required documents are in order.

Signature:

Name : Maneesh Gupta
CP No : 2945



Date: October 12, 2018
Place: New Delhi

18/15, Shakti Nagar, Delhi-110007
C/o Madan Lal Khanna & Co., 74, Janpath, New Delhi-110 001 (INDIA)
Mobile : +91-98-712-08877