



An ISO 9001 : 2008 Certified Co.  
CIN-L51102CT1995PLC009772

**SARTHAK METALS LIMITED**

(Formerly : Sarthak Metals Marketing Private Limited)

**Date: 9th October, 2018**

To,  
The Department of Corporate Services  
The Bombay Stock Exchange  
Phiroz Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400001

**Sub: Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Script Code: 540393**

**Dear Sir/Madam,**

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, we submit herewith the copy of the Certificate issued by M/S NILESH A PRADHAN & CO., Practicing Company Secretary, for the half year ended on 30th September, 2018.

Kindly take the above Certificate on your records.

**Thanking You,**

**Yours faithfully,  
For Sarthak Metals Limited**



**Itika Singhal  
Company Secretary & Compliance Officer**

**Head Office :**  
BBC Colony, G.E. Road,  
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Telefax : +91 788 2225874  
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**Works :**  
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Contact : +91 91 1101 5042  
Web : [www.sarthakmetals.com](http://www.sarthakmetals.com)



# NILESH A. PRADHAN & CO.

Nilesh A. Pradhan.

B.COM., F.C.S.

Practicing Company Secretary

B-201, Pratik Industrial  
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Mulund-Goregaon Link Road,  
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## CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

### FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2018

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to “**SARTHAK METALS LIMITED**” maintained by Company and Bigshare Services Private Limited pertaining to transfer of equity shares of the company for the period from **01<sup>st</sup> April, 2018** to **30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate as Regulation 40(9) Of The Securities And Exchange Board Of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 ,by “**SARTHAK METALS LIMITED**” and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2018**:

The Company was listed on the SME platform of BSE Limited on 27<sup>th</sup> March, 2017 and all its shares are in dematerialized form therefore the provisions related to issue of Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **01<sup>st</sup> April, 2018** to **30<sup>th</sup> September, 2018** as entered in the Memorandum of Transfers to be issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed are not applicable to the Company.

Place: Mumbai

Date: 9<sup>th</sup> October, 2018

For NILESH A PRADHAN & CO.  
Practicing Company Secretary

  
NILESH A. PRADHAN

Proprietor

FCS NO. 5445

COP NO. 3659

