



## Manipal Finance Corporation Ltd.

Regd. Office: "Manipal House"- Manipal – 576 104  
Udupi Dist., Karnataka  
Ph: 0820-2570741  
Website: [www.mfgroupco.com](http://www.mfgroupco.com)  
Email: [investorrelations@mfgroupco.com](mailto:investorrelations@mfgroupco.com)  
CIN : L65910KA1984PLC005988

Ref:MFCL/HO/SEC/0098/2018  
16-10-2018

Dept. of Corporate Services  
Bombay Stock Exchange Ltd.  
Regd. Office: Floor 25  
P J Towers, Dalal Street  
Mumbai – 400 001

**Security ID : MNPLFIN Security Code : 507938**

*Dear Sir/Madam,*

**Sub:** Compliance Certificate u/r 40 (9) & (10) of SEBI ((LISTING OBLIGATION AND DISCLOSURE REQUIRMENTS) REGULATIONS 2015 for the half year ended as on 30.09.2018

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With reference to the above, please find enclosed herewith the following:

Compliance Certificate issued by the Practising Company Secretary, as required under the Regulations 2015 for the half year ended 30<sup>th</sup> September, 2018.

This is for your kind information and record.

Thanking you,

Yours faithfully,  
For **Manipal Finance Corporation Ltd.**

**Managing Director**

Encl: As above



**Ramachandra Bhat S**  
B.Com, LLB., FCS.,  
Company Secretary  
C.P.5421

No. 98, Green Vista Apt,  
4<sup>th</sup> Main, 4<sup>th</sup> Cross,  
Vijaya Bank Colony,  
Near Aiyappa Swamy Temple,  
Off: Bannerghatta Road,  
Bangalore-560 076  
Phone: 26483355  
Mobile:9448327988  
Email: rc\_bhat@rediffmail.com

**COMPLIANCE CERTIFICATE UNDER REGULATION 40 (9) & (10) OF SEBI  
(LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS)  
REGULATIONS 2015 FOR THE HALF YEAR ENDED 30<sup>th</sup> September, 2018.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Manipal Finance Corporation Ltd., maintained by Cameo Corporate Services Ltd, Chennai pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2018, to 30<sup>th</sup> September, 2018 for the purpose of issuing a Certificate as per Regulation 40 (9) & (10) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30.09.2018:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> April, 2018, to 30<sup>th</sup> September, 2018 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable: NIL

  
Ramachandra Bhat S.  
Company Secretary  
FCS No. 4321  
CP No. 5421

Place : Manipal  
Date : 04/10/2018

(Name, Membership No. & Signature of Practicing Company Secretary)



Annexure to Certificate dt. 04/10/18 issued under REGULATION 40 (9) & (10) OF SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015

Details of share certificates delivered to shareholders within one month of lodgement of Share transfer deed during the period from 01.04.2018 to 30.09.2018

Sl. NO.	DATE OF LODGEMENT OF TRANSFER DEEDS	TRANSFER DEED NO.	TRANSFEREE NAME (1ST HOLDER)	NO. OF SHARES	DATE OF TRANSFER	OBJECTION IF ANY,	DULY TRANSFERRED CERTIFICATES-		MODE OF DISPATCH CERTIFICATES		REASONS FOR DELAY
							DELIVERED ON	DELIVERED WITHIN 30 DAYS	BY REGD POST	BY REGD POST	
1	10.04.2018	183	INDRA KUMAR BAGRI	500	19.04.2018	NIL	20.04.2018		BY REGD POST	YES	
2	10.04.2018	184	INDRA KUMAR BAGRI	500	19.04.2018	NIL	20.04.2018		BY REGD POST	YES	
3	10.04.2018	185	INDRA KUMAR BAGRI	500	19.04.2018	NIL	20.04.2018		BY REGD POST	YES	
4	13.08.2018	186	INDRA KUMAR BAGRI	1000	16.08.2018	NIL	16.08.2018		BY REGD POST	YES	
5	13.08.2018	187	INDRA KUMAR BAGRI	1000	16.08.2018	NIL	16.08.2018		BY REGD POST	YES	
6	13.08.2018	188	INDRA KUMAR BAGRI	1000	16.08.2018	NIL	16.08.2018		BY REGD POST	YES	



*Ramachandra Bhat S.*  
 Company Secretary  
 FOS-10, 40/1  
 CP No. 3421