



29<sup>th</sup> October, 2018

The Head- Listing Compliance <b>BSE Limited,</b> PhirozeJeejeebhoy Towers, Dalal Street, Mumbai- 400001	The Head- Listing Compliance <b>National Stock Exchange of India Ltd.</b> Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400 051	The Manager <b>The Calcutta Stock Exchange Ltd.</b> 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001
<b>Security Code: 511611</b>	<b>Stock Code: DCMFINSERV</b>	<b>Scrip Code:014032</b>

**Subject: Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015**

Dear Sir,

Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on **September 30, 2018** in compliance with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above information for your records.

Thanking you,

Yours Faithfully,

For **DCM Financial Services Limited**

**(Priya Sharma)**  
**Company Secretary & Compliance Officer**

Encl: as stated

**DCM FINANCIAL SERVICES LIMITED**

CIN 65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: [info@dfsionline.com](mailto:info@dfsionline.com)

Website: [www.dfsionline.com](http://www.dfsionline.com)



**JAIN P & ASSOCIATES**

*(Erstwhile Jain P & Co.)*

**Company Secretaries**

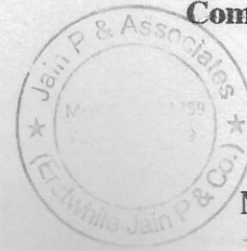
**C-273, II Floor, Sector-63, Noida-201301, Uttar Pradesh**

**E-Mail: [preeticsjain@gmail.com](mailto:preeticsjain@gmail.com), Ph. +91-9599473294**

I have examined all activities in relation to both Physical and Electronic Transfer facility relating to **DCM Financial Services Limited** maintained by **MCS Share Transfer Agent Limited** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by **DCM Financial Services Limited** with exchanges and based on the information provided by the company, I hereby certify that the Company has delivered during half year ended **30<sup>th</sup> September, 2018**.

Share/Debenture Certificate(s) relating to the Transfer of Share/Debenture received during the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** as entered in the Memorandum of Transfers have been issued within thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For Jain P & Associates,  
Company Secretaries**



**Preeti Jain**

**Memb. No. 41759**

**C.O.P. No. 17079**

**Date: 26.10.2018**

**Place: Noida**