



# DB (INTERNATIONAL) STOCK BROKERS LTD.

- NSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- BSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- DEPOSITORY PARTICIPANT-CDSL • IPO, MUTUAL FUND & BONDS

402, New Delhi House, 27 Barakhamba Road, New Delhi - 110 001  
TEL.: 011-43606162, Email : [compliance@dbonline.in](mailto:compliance@dbonline.in), website: [www.dagabusiness.com](http://www.dagabusiness.com)  
CIN : L67120HR1992PLC035349

1<sup>st</sup> November, 2018

Department of Corporate Services  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
Mumbai-400001

**Sub: Disclosures pursuant to Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and Disclosure pursuant to Regulation 13(1) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992**

Dear Sir,

We DB (International) Stock Brokers Limited would like to inform the exchange that M/S New KMS Finance Private Limited has acquired substantial number of equity shares of DB (International) Stock Brokers Limited on 31<sup>st</sup> October, 2018 resulting in reaching its shareholding to 9.75%.

Thereby the Disclosures pursuant to Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and Disclosure pursuant to Regulation 13(1) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992 is required.

The above mentioned Disclosures made by New KMS Finance Private Limited to DB (International) Stock Brokers Limited are attached herewith.

You are requested to take the same in your records.

Thanking you,

Yours faithfully,

**For DB (International) Stock Brokers Limited**

**For DB (International) Stock Brokers Ltd.**

Shiv Narayan Daga  
Managing Director  
Encl: As Above

**Director**

# NEW KMS FINANCE PVT LTD

MEHAK DUPATTA HOUSE, LINK ROAD, JAMMU - 180001 INDIA

EMAIL.: newkmsltd@gmail.com M. 07859839669

CIN.: U67120JK1988PTC001088

To,  
DB (International) Stock Brokers Limited  
402, New Delhi House, 27,  
Barakhamba Road  
New Delhi -110001

**Subject: Intimation of Acquisition of Shares.**

Dear Sir,

We New KMS Finance Private Limited have acquired 6,52,449/- Shares (Six Lacs Fifty Two Thousand Four Hundred Forty Nine Shares Only) on 31<sup>st</sup> October, 2018 which results in reaching our shareholding to 9.75% in DB (International) Stock Brokers Limited. Thereby following disclosures become applicable on us which are as follows:

1. As per regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulation, 2011.
2. As per Regulation 13(1) of SEBI (Prohibition of Insiders Trading) regulations, 1992.

*The above mentioned disclosures are enclosed herewith.*

You are requested to please take the same in your records.

Thanking You,  
For New KMS Finance Private Limited  
For ~~NEW KMS FINANCE PRIVATE LIMITED~~

Authorized Signatory  
Encl: A/A ~~Director~~ Authorized Signatory

**Format for Disclosures under Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Part-A- Details of the Acquisition**

Name of the Target Company (TC)	DB (INTERNATIONAL) STOCK BROKERS LIMITED		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	NEW KMS FINANCE PRIVATE LIMITED		
Whether the acquirer belongs to Promoter/Promoter group	NO		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	NSE & BSE		
Details of the acquisition as follows	Number	% w.r.t. total share/voting capital wherever applicable(*)	% w.r.t. total diluted share/voting capital of the TC (**)
<b>Before the acquisition under consideration, holding of acquirer along with PACs of:</b>	27,59,095	7.88%	7.88%
a) Shares carrying voting rights			
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)			
c) Voting rights (VR) otherwise than by equity shares			
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)			
e) Total (a+ b+ c+ d)	27,59,095	7.88%	7.88%
<b>Details of acquisition</b>			
a) Shares carrying voting rights acquired			
b) VRs acquired otherwise than by equity shares	6,52,449	1.86%	1.86%
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired			
d) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)			
e) Total (a+ b+ c+/-d)	6,52,449	1.86%	1.86%

<b>After the acquisition, holding of acquirer along with PACs of:</b> a) Shares carrying voting rights b) VRs otherwise than by equity shares c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition d) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others) e) Total (a+ b+ c+ d)	       34,11,544      34,11,544	       9.75%      9.75%	       9.75%      9.75%
Mode of acquisition (e.g. open market / public issue / rights issue / preferential allotment / inter-se transfer/encumbrance, etc.)	open market		
Salient features of the securities acquired including time till redemption, ratio at which it can be converted into equity shares, etc.	Equity Shares		
Date of acquisition of/ date of receipt of intimation of allotment of shares / VR/ warrants/convertible securities/any other instrument that entitles the acquirer to receive shares in the TC.	31.10.2018		
Equity share capital / total voting capital of the TC before the said acquisition	3,50,00,000		
Equity share capital/ total voting capital of the TC after the said acquisition	3,50,00,000		
Total diluted share/voting capital of the TC after the said acquisition	3,50,00,000		

FOR NEW KMS FINANCE PRIVATE LIMITED

*Amrendra Kumar*

Signature of the acquirer / Authorised Signatory

Place: Jammu

Date: 31.10.2018

Note:

(\*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

(\*\*\*) Part-B shall be disclosed to the Stock Exchanges but shall not be disseminated.