

## DB (International) Stock Brokers Ltd.

NSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
 BSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
 DEPOSITORY PARTICIPANT-CDSL • IPO, MUTUAL FUND & BONDS

402, New Delhi House, 27 Barakhamba Road, New Delhi - 110 001 TEL.: 011-43606162, Email : compliance@dbonline.in, website: www.dagabusiness.com

CIN: L67120HR1992PLC035349

16th November, 2018

Department of Corporate Services Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers, Dalal Street Mumbai-400001

Sub: Disclosures pursuant to Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and Disclosure pursuant to Regulation 13(3) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

Dear Sir.

We DB (International) Stock Brokers Limited would like to inform the exchange that M/S Guru Kirpa Finvest Limited has sold substantial number of equity shares of DB (International) Stock Brokers Limited on 15<sup>th</sup> November, 2018 resulting in reaching its shareholding to 5.97%.

Thereby the Disclosures pursuant to Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and Disclosure pursuant to Regulation 13(3) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992 is required.

The above mentioned Disclosures made by Guru Kirpa Finvest Limited to DB (International) Stock Brokers Limited are attached herewith.

You are requested to take the same in your records.

Thanking you,

Yours faithfully,

For DB (International) Stock Brokers Limited

Shiv Narayan Daga Managing Director

Encl: As Above

## **GURU KIRPA FINVEST LIMITED**

96/A, C.R. AVENUE, KOLKATA, - 700 012 WEST BENGAL COMPANY.CORPORATE@YAHOO.IN GURUKIRPAFINVEST@REDIFFMAIL.COM

Dated: 15th November, 2018

To, DB (International) Stock Brokers Limited 402, New Delhi House, 27, Barakhamba Road New Delhi -110001

Subject: Intimation of Sale of Shares.

Dear Sir,

We Guru Kirpa Finvest Limited have sold 11,10,000 Shares (Eleven Lacs Ten Thousand Shares Only) on 15<sup>th</sup> November, 2018 which results in changing our shareholding from 9.14% to 5.97% in DB (International) Stock Brokers Limited. Thereby following disclosures become applicable on us which are as follows:

- 1. As per regulation 29 (2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulation, 2011.
- 2. As per Regulation 13(3) of SEBI (Prohibition of Insider Trading) Regulations, 1992.

The above mentioned disclosures are enclosed herewith.

You are requested to please take the same in your records.

Thanking You,
For Guru Kirpa Finvest Limited
For GURUKERPA FINVEST LTD

Authorized Signatory

Encl: AttAhorised Signatory/ Director

## Format for Disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations. 2011

## Part-A- Details of the Disposal

Name of the Target Company (TC)	DB (INTERNATIONAL) STOCK BROKERS LIMITED		
Name(s) of the acquirer/Seller and Persons Acting in Concert (PAC) with the acquirer/Seller	GURU KIRPA FINVEST LIMITED		
Whether the acquirer/Seller belongs to Promoter/Promoter group	NO		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	NSE & BSE		
Details of the acquisition/Disposal as follows	Number	% w.r.t. total share/voting capital wherever applicable(*)	% w.r.t. total diluted share/voting capital of the TC (**)
Before the Disposal under consideration, holding of Seller along with PACs of:  a) Shares carrying voting rights b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others) c) Voting rights (VR) otherwise than by equity shares d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) e) Total (a+ b+ c+ d)	3198556	9.14%	9.14%
Details of acquisition/Sale  a) Shares carrying voting rights acquired  b) VRs acquired otherwise than by equity shares c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired d) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others) e) Total (a+ b+ c+/-d)	11,10,000	3.17%	3.17%

After the acquisition/Sale holding of acquirer along with PACs of:			
a) Shares carrying voting rights     b) VRs otherwise than by equity shares     c) Warrants/convertible securities/any other instrument	20,88,556	5.97%	5.97%
that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition d) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others) e) Total (a+ b+ c+ d)	20,88,556	5.97%	5.97%
Mode of acquisition (e.g. open market / public issue / rights issue / preferential allotment / inter-se transfer/encumbrance, etc.)	open market		
Salient features of the securities acquired including time till redemption, ratio at which it can be converted into equity shares, etc.	Equity Shares		
Date of acquisition of/ date of receipt of intimation of allotment of shares / VR/ warrants/convertible securities/anyother instrument that entitles the acquirer to receive sharesin the TC.	15.11.2018		
Equity share capital / total voting capital of the TC before the said acquisition	3,50,00,000		
Equity share capital/ total voting capital of the TC after the said acquisition	3,50,00,000		
Total diluted share/voting capital of the TC after the said acquisition	3,50,00,000		