



**Date: November 10, 2018**

To,  
The Head-Listing  
Compliance  
**BSE Ltd.**

Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai-400001

To,  
The Head-Listing Compliance  
**National Stock Exchange of  
India Ltd.**

Exchange Plaza, Plot No.  
C/1, G Block, Bandra-Kurla  
Complex  
Mumbai-400051

To,  
The Manager  
**The Calcutta Stock Exchange  
Ltd.**

7, Lyons Range, Murgighata,  
BBD Bagh, Kolkata  
West Bengal – 700001

Security Code- 511611

Symbol: DCMFINSERV

Scrip Code: 014032

**Sub: Submission of Notice of Annual General Meeting of the Company**

Sir/ Madam,

Please find attached the Notice of Annual General Meeting of the Company to be held on **Friday, November 30, 2018 at 11:00 A.M. at The Executive Club, 439, Village Shahoopur, P.O. Fatehpur Beri, New Delhi – 110030.**

Kindly take this information in your records.

**For DCM Financial Services Limited**

**(Priya Sharma)  
Company Secretary**

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**DCM FINANCIAL SERVICES LIMITED**

CIN L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750

Email ID: info@dfsionline.com

Website: www.dfsionline.com

## NOTICE

**NOTICE** is hereby given that the 27<sup>th</sup> Annual General Meeting of the members of DCM Financial Services Limited will be held on **Friday, November 30, 2018 at 11:00 A.M. at The Executive Club, 439, Village Shahoorpur, P.O. Fatehpur Beri, New Delhi – 110030 to transact the following businesses:**

### ORDINARY BUSINESS:

1. Ordinary Resolution to receive, consider and adopt Standalone & Consolidated Audited Financial Statements of the Company for the financial year ended on 31st March, 2018 together with the reports of the Auditor's and Directors' thereon and
2. To ratify appointment of **M/s Mukesh Aggarwal & Co., Chartered Accountant, (Firm Registration No. 000393N)** as the Statutory Auditors of the Company to hold office from the conclusion of ensuing Annual General meeting till the conclusion of the next Annual General Meeting of the company and to fix their remuneration.

In this regard, if thought fit, the members will pass with or without modification(s), the following resolution as an Ordinary Resolution:

**“RESOLVED THAT** pursuant to the provisions of Section 139(2) and 142(1) of the Companies Act, 2013 and the rules made thereunder, as amended from time to time, pursuant to the recommendations of the audit committee, appointment of the Statutory Auditors of company **M/s Mukesh Aggarwal & Co., Chartered Accountant, (Firm Registration No. 011393N)**, be and is hereby ratified by the members of the company for the financial year 2018-19 at such remuneration as may be determined by the Board of Directors of the Company of the Company in consultation with the auditor.”

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## **SPECIAL BUSINESS:**

### **3. APPOINTMENT OF MS. RAJNI GUPTA AS AN INDEPENDENT DIRECTOR**

To consider and if thought fit, to pass with or without modification(s), the following resolution as Ordinary Resolution:-

“**RESOLVED THAT** pursuant to the provisions of Sections 149, 152, 161 and any other applicable provisions of the Companies Act, 2013 and the Rules made read with Schedule IV of the Act (including any other modification(s) or re-enactment thereof for the time being in force) **Ms. Rajni Gupta (DIN: 07242992)** who was appointed as an Additional Director (Independent) of the Company by the Board of Directors in their meeting held on **12<sup>th</sup> February, 2018** to hold office up to the date of ensuing Annual General Meeting, and in respect of whom the Company has also received a notice of intention in writing signifying her intention to propose herself as a candidate for the office of Director of the Company, be and is hereby appointed as Independent Director of the Company for a period of **5 years, not being liable to retire by rotation**”

### **4. APPOINTMENT OF MR. SHANTANU DEVESHWAR AS DIRECTOR/ WHOLE TIME DIRECTOR**

To consider the appointment of **Mr. Shantanu Deveshwar (DIN: 08268523)** as director/ Whole Time Director of the Company, and if thought fit, to pass with or without modification(s), the following resolution as Ordinary Resolution:

**RESOLVED THAT** pursuant to the provisions of Sections 152, 161, and other applicable provisions, if any, of the Companies Act, 2013 (“the Act”) and the Companies (Appointment and Qualification of Directors) Rules, 2014 (including any statutory modification(s) or re-enactment thereof, for the time being in force), **Mr. Shantanu Deveshwar (DIN: 08268523)**, who was appointed as an Additional Director by the Board of Directors of the Company with effect from 22<sup>nd</sup> October, 2018 and who holds office as such up to the date of this Annual General Meeting be and is hereby appointed as a Director of the Company.

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**“RESOLVED FURTHER THAT** pursuant to the provisions of Sections 196, 197, 198 and 203 read with Schedule V and other applicable provisions, if any, of the Companies Act, 2013 and the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 (including any statutory modification(s) or re-enactment thereof, for the time being in force) and in terms of the Memorandum and Articles of Association of the Company and on the recommendation of Nomination and Remuneration Committee consent of the Company be and is hereby accorded to appoint Mr. Shantanu Deveshwar (DIN: 08268523) as a Whole Time Director of the Company for a period of Five years with effect from 30<sup>th</sup> November, 2018, with the liberty given to the Board of Directors to alter and vary the terms and conditions of the said appointment and/or remuneration in such manner as may be agreed to by and between the Company and Mr. Shantanu Deveshwar, provided however, such alterations are within the maximum limits laid down in the Companies Act, 2013 for the time being in force. Terms, conditions and remuneration as detailed below:

### **Terms & Conditions**

- 1) Designation:** Whole Time Director
- 2) Term:** 5 years starting with effect from 30.11.2018
- 3) Salary:** As mutually decided between the Company and Mr. Shantanu Deveshwar, subject to the limits laid down in the Companies Act, 2013 for the time being in force.
- 4) Functions:** He shall exercise duties and functions as may be delegated/ assigned to him by the Board of Directors/Committee of Directors from time to time.
- 5) Sitting fees:** He shall not be paid any sitting fee for attending the Meeting of Board or Committee thereof.

**By order of the Board of Directors  
For DCM Financial Services Limited**

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**Date: 22/10/2018**

**Place: Delhi**

**Sd/-  
Surender Kumar  
Whole Time Director  
(DIN: 02188166)  
Add: D-7/3 Okhla Industrial Area,  
Phase-2, New Delhi-110020**

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## NOTES:

1. A statement pursuant to Section 102(1) of the Companies Act, 2013, relating to the Special Business(es) to be transacted at the Meeting is annexed hereto.
2. **A MEMBER WHO IS ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE ON POLL ONLY AND A PROXY NEED NOT BE A MEMBER OF THE COMPANY. IN ORDER TO BE EFFECTIVE, PROXY FORMS DULY COMPLETED IN ALL RESPECTS SHOULD BE DEPOSITED AT THE REGISTERED OFFICE OF THE COMPANY NOT LESS THAN 48 HOURS BEFORE THE TIME FIXED FOR THE MEETING.**

A person can act as a proxy on behalf of members **not exceeding fifty** and holding in aggregate **not more than ten percent of the total share capital** of the Company carrying voting rights. A member holding more than ten percent of the total share capital of the Company carrying voting rights may appoint a single person as proxy and such person shall not act as a proxy for any other person(s) or shareholder(s).

3. Corporate Members intending to send their authorized representative to attend the Meeting are requested to send a Certified True Copy of the Board Resolution authorizing their representative to attend and vote on their behalf at the Meeting.
4. Relevant documents as referred to in the accompanying Notice along with the Statements are open for inspection by members at Registered Office of the Company on all working days, except Saturdays, during business hours up to the date of the Annual General Meeting.
5. Brief details of Directors seeking re-appointment at the Annual General Meeting

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(Pursuant to Regulation 36(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015) forms part of the notice.

6. The Register of Members and Share Transfer Books will remain closed from **November 25, 2018 to November 30, 2018** (both days inclusive).
7. Members holding shares in physical form are requested to consider converting their holding to dematerialized form as required under the amended SEBI guidelines and regulations to eliminate all risks associated with physical shares and for ease in portfolio management. Members may contact the Company or its Registrar & Share Transfer Agent (RTA), for assistance in this regard.
8. a) The members who  
are holding shares in physical form are requested to intimate any change in their address with pin code immediately either to the Company or to its Registrar & Share Transfer Agent (RTA) promptly.
- b) The members who  
are holding shares in demat form are requested to intimate any change in their address with pin code immediately to their Depository Participants.
9. The Ministry of Corporate Affairs, Government of India (vide its circular nos. 17/2011 and 18/2011 dated April 21, 2011 and April 29, 2011 respectively), has undertaken a 'Green Initiative in Corporate Governance' by allowing paperless compliances and recognizing delivery of Notices / Documents / Annual Reports, etc., to the shareholders through electronic medium. In view of the above, the Company will send Notices / Documents / Annual Reports, etc., to the shareholders through email, wherever the email addresses are available; and through other modes of service where email addresses have not been registered. Accordingly, members are requested to support this initiative by

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registering their email addresses in respect of shares held in dematerialized form with their respective Depository Participants and in respect of shares held in physical form with the Company's Registrar and Transfer Agent.

10. The shares of the Company are at presently listed on **BSE Limited, National Stock Exchange of India Limited and Calcutta Stock Exchange Limited.**

11. Pursuant to the provisions under Section 108 of Companies Act, 2013 to be read with Rule 20 of Companies (Management and Administration) Rules, 2014, as amended from time to time along with Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Company is pleased to provide to its members, the facility to exercise their right to vote at the ensuing Annual General Meeting by Electronic Means. The business(s) proposed to be transacted as mentioned in the Annual General Meeting Notice may be transacted through voting by Electronic Means (Remote e-voting) as well. For this, Company is availing the services provided by **Central Depository Services (India) Limited ("CDSL")**. The facility for voting through Ballot Paper will also be made available at the meeting venue, for the members who have not cast their votes by remote e-voting. They shall also be able to exercise their voting rights at the AGM by voting through ballot paper. Members who have already cast their vote by remote e-voting process prior to the date of meeting shall be eligible to attend the Annual General Meeting but shall not be entitled to cast their votes again through ballot process.

*The instructions for e-voting by members are annexed to the Notice.*

12. The Board of Directors of the company has appointed **Ms. Preeti Mittal, Practicing Company Secretary (C. P. No. 17079)**, Company Secretaries, as Scrutinizer for conducting the e-voting process for the Annual General Meeting in a fair and transparent manner.

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13. The Scrutinizer, after scrutinizing the votes cast at the meeting through Poll and through remote e-voting, shall, not later than three days of conclusion of the Meeting, make a **“Consolidated Scrutinizer’s Report”** and submit the same to the Chairman. The results declared along with the consolidated scrutinizer’s report shall be placed on website of the Company and on the website of Stock Exchange at [www.bseindia.com](http://www.bseindia.com) and [www.nseindia.com](http://www.nseindia.com). The Report shall simultaneously be placed on Notice Board of the Company at premises of the Registered Office.
14. Subject to receipt of requisite number of votes, the Resolutions shall be deemed to be passed on the date of the General Meeting.
15. The login ID and password for remote e-voting along with Process, Manner and Instructions for remote e-voting is being sent to Members who have not registered their E-mail ID(s) with the Company / their respective Depository Participants along with physical copy of the Notice.
- Those Members who have registered their e-mail IDs with the Company / their respective Depository Participants are being forwarded the login ID and password for remote e-voting along with Process, Manner and Instructions through E-mail.
16. Voting rights shall be reckoned on the Paid-up value of shares registered in the name of Member / Beneficial Owner (in case of shares in Dematerialized form) as on the cut-off date i.e. **November 24, 2018**.
17. A person, whose name is recorded in the Register of Members or in the Register of Beneficial Owners maintained by the depositories as on the cut-off date, shall only be entitled to avail the facility of e-voting / Poll.

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*Note: A person who is not a Member as on the cut-off date should treat this Notice for information purposes only.*

18. No gifts, gift coupons, or cash in lieu of gifts shall be distributed to Members at or in connection with the General Meeting.

19. The **Instructions for shareholders voting electronically are as under:**

<b>Date and Time of commencement of e-voting</b>	November 27, 2018 from 09:00 A.M.
<b>Date and Time of Conclusion of e-voting</b>	November 29, 2018 till 05:00 P.M.

- i. During this period, shareholders' of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date may cast their vote electronically. The remote e-voting module shall be disabled by CDSL for voting thereafter.
- ii. Shareholders who have already voted prior to the meeting date through remote E-voting would not be entitled to vote at the meeting venue through Poll.
- iii. The shareholders should log on to the e-voting website of CDSL "[www.evotingindia.com](http://www.evotingindia.com)."
- iv. Click on Shareholders.
- v. Now Enter your UserID

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- a) For Shareholders holding Demat Account with CDSL: **16digits beneficiary ID,**
- b) For Shareholders holding Demat Account with NSDL: **8 Character DP ID followed by 8 Digits Client ID,**
- c) Members holding shares in Physical Form should enter Folio Number registered with the Company.
- vi. Next enter the Image Verification as displayed and Click on Login.
- vii. If you are holding shares in demat form and had logged on to [www.evotingindia.com](http://www.evotingindia.com) and voted on an earlier voting of any company, then your existing password is to be used.
- viii. If you are a first time user then follow the steps given below:

	<b>For Members holding shares in Demat Form or Physical Form</b>
PAN	<p>Enter your 10 digit alpha-numeric *PAN issued by Income Tax Department(Applicable for both demat shareholders as well as physical shareholders)</p> <p>Members who have not updated their PAN with the Company/Depository Participant are requested to use the first two letters of their name and the 8 digits of the sequence number in the PAN field.</p> <p>In case the sequence number is less than 8 digit enter the applicable number of 0's before the number after the first two characters of the name in CAPITAL letters. E.g. If your name is Ramesh Kumar with sequence number 1 then enter RA00 00001 in the PAN field.</p>

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Dividend Bank Details <b>OR</b> Date of Birth(DOB)	Enter the Dividend Bank Details or Date of Birth (in dd/mm/yyyy format) as recorded in your demat account or in the company records in order to login.  If both the details are not recorded with the depository or company please enter the member id/folio number in the Dividend Bank details field as mentioned in instruction (v).
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- ix. After entering these details appropriately, click on “SUBMIT” tab.
- x. Members holding shares in physical form will then directly reach the Company Selection Screen. However, members holding shares in demat form will now reach ‘PasswordCreation’ menu where they are required to mandatorily enter their login password in the new password field. Kindly note that this password is to be also used by demat holders for voting for resolutions of any other company on which they are eligible to vote, provided that company opts for e-voting through CDSL platform.  
*Note: It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential.*
- xi. For Members holding shares in physical form, the details can be used for remote e-voting on the resolutions contained in this Notice only.
- xii. Click on the EVSN of **DCM Financial Services Limited** the relevant resolution on which you choose to vote.
- xiii. On the voting page, you will see “RESOLUTION DESCRIPTION” and against the same you will find an option “YES/NO” for voting. Select the option “YES” or “NO”

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as desired. The option “YES” implies that you “Assent to the Resolution” and option “NO” implies that you “Dissent to the Resolution”.

- xiv. Click on the “RESOLUTIONS FILE LINK” if you wish to view the entire Resolution’s details.
- xv. After selecting the resolution you have decided to vote on, click on “SUBMIT”. A confirmation box will be displayed. If you wish to confirm your vote, click on “OK”, else to change your vote, click on “CANCEL” and accordingly modify your vote.
- xvi. Once you “CONFIRM” your vote on the resolution, you will not be allowed to modify your vote.
- xvii. You can also take a print of the votes cast by clicking on “Click here to print” option on the Voting page.
- xviii. If a demat account holder has forgotten the login password then Enter the User ID and the image verification code and click on Forgot Password & enter the details as prompted by the system.
- xix. **Note for Non-Individual Shareholders and Custodians:-**
  - ✓ Non Individual shareholders (i.e.other than Individuals, HUF, NRI etc.)and Custodian are required to logon to [www.evotingindia.com](http://www.evotingindia.com) and register themselves as Corporates.
  - ✓ A scanned copy of the Registration Form bearing the stamp and sign of the entity should be emailed to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com).

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Website: [www.dfsionline.com](http://www.dfsionline.com)

- ✓ After receiving the login details a Compliance User should be created using the admin login and password. The Compliance User would be able to link the account(s)for which they wish to vote on.
  - ✓ The list of accounts linked in the login should be mailed to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com) and on approval of the accounts they would be able to cast their vote.
  - ✓ A scanned copy of the Board Resolution and Power of Attorney(POA) which they have issued in favour of the Custodian, if any, should be uploaded in PDF form at in the system for the scrutinizer to verify the same.
- xx. In case you have any queries or issues regarding e-voting, you may refer the Frequently Asked Questions (“FAQs”) and e-voting manual available at [www.evotingindia.com](http://www.evotingindia.com), under help section or write an email to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com).
- xxi. To address issues/grievances of shareholders relating to the ensuing AGM, including e-voting, the following official has been designated:

Name of Official	Surender Kumar
Designation	Whole-time Director
Address	D-7/3 Okhla Industrial Area, Phase-2, New Delhi-110020
Contact	9810262680

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 Website: [www.dfsionline.com](http://www.dfsionline.com)

E-mail	<a href="mailto:info@dfsionline.com">info@dfsionline.com</a>
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**EXPLANATORY STATEMENT PURSUANT TO SECTION 102 OF THE COMPANIES ACT, 2013 READ WITH COMPANIES (MANAGEMENT AND ADMINISTRATION) RULES, 2014**

**APPOINTMENT OF MS. RAJNI GUPTA AS AN INDEPENDENT DIRECTOR**

Ms. Rajni Gupta (DIN: 07242992) was inducted as an Additional (Independent) Director of the Company by Board on 12<sup>th</sup> February, 2018 pursuant to the provisions as specified u/s 161(1) of the Companies Act, 2013. Ms. Rajni Gupta (DIN: 07242992) holds office upto the date of the ensuing Annual General Meeting and is eligible for the appointment as an Independent Director.

Further, Ms. Rajni Gupta has furnished a declaration to the Board that she meets the criteria of independence as provided under section 149(6) of the Act.

She has a vast experience in general management. Board considers that her association would be of immense benefit to the Company and it is desirable to avail her services as an Independent Director.

None of the following persons are interested in the aforesaid resolution, financially or otherwise:-

- (i) Any Director(s) or Manager,
- (ii) Any Other Key Managerial Personnel(s),
- (iii) Relatives of the persons mentioned in sub clauses (i) and (ii) above.

***The Board recommends passing of the resolution set out at Item No. 3 as an Ordinary Resolution.***

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**PROFILE OF PROPOSED DIRECTOR**

<b>Name</b>	Rajni Gupta
<b>Director Identification Number (DIN)</b>	07242992
<b>D.O.B.</b>	01/05/1973
<b>Qualification</b>	B.com
<b>Expertise in specific area</b>	She has a rich experience of more than 20 years in Administration and Finance Management and handling various positions in Audit & Compliances in different organizations.
<b>Date of First appointment on the Board of the Company</b>	12.02.2018
<b>List of Directorship held in other companies</b>	<b>Acting as Director in-</b>  1. Raymed Labs Limited 2. Scriptech Wealth Management Services Private Limited 3. DFS Securities Limited
<b>Names of Listed Entities in which the person holds membership of Committees of the Board</b>	Raymed Labs Limited
<b>Relationship between Directors Inter-se</b>	No relation

**APPOINTMENT OF MR. SHANTANU DEVESHWAR AS WHOLE TIME DIRECTOR**

Mr. Shantanu Deveshwar had been appointed as an Additional Director of the Company w.e.f. October 22, 2018. Pursuant to the provisions of Section 161 of the Companies Act, 2013, Mr. Shantanu Deveshwar is entitled to hold office up to the date of the ensuing AGM.

On the basis his extensive experience, knowledge and dedicated efforts made towards the growth for the Company, the Board is of the view that the appointment of Mr. Shantanu Deveshwar as Whole Time Director is desirable and would be beneficial to the Company.

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The Board of Directors recommends the resolution as set out in the Item No. 4 by way of Ordinary Resolutions.

None of the Directors and the Key Managerial Personnel of the Company and their relatives except Mr. Shantanu Deveshwar and Mr. Surender Kumar is/are concerned or interested, financially or otherwise, in the respective resolution of item No. 7.

**PROFILE OF PROPOSED DIRECTOR FOR ITEM NO. 4**

<b>Name</b>	Mr. Shantanu Deveshwar
<b>Director Identification Number (DIN)</b>	08268523
<b>D.O.B.</b>	16.05.1969
<b>Qualification</b>	Post-Graduation diploma in materials management 1991
<b>Expertise in specific area</b>	25 years of working experience in the area of Management & Administration.
<b>Date of First appointment on the Board of the Company</b>	October 22, 2018
<b>List of Directorship held in other companies</b>	Nil
<b>Names of Listed Entities in which the person holds membership of Committees of the Board</b>	Nil
<b>Relationship between Directors Inter-se</b>	Mr. Shantanu Deveshwar is a son of Mr. Surender Kumar who is existing Whole-time Director of the Company.

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## PROXY FORM

### Form No. MGT-11

*[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]*

CIN .....  
Name of the Company .....  
Registered Office .....  
.....

Name of the Member(s)	
Registered address	
E-mail I. d.	
Folio no./Client Id*	
DP ID	

I/We, being the member(s) of **DCM Financial Services Limited**, holding \_\_\_\_\_ shares hereby appoint:

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- 1 Name .....  
 Address .....  
 .....  
 E-Mail I.D. ....  
 Signature ..... or failing him
- 2 Name .....  
 Address .....  
 .....  
 E-Mail I.D. ....  
 Signature ..... or failing him
- 3 Name .....  
 Address .....  
 .....  
 E-Mail I.D. ....  
 Signature .....

as my/our proxy to attend and vote (on poll) for me/us and on my/our behalf at the Annual General Meeting of the company, to be held on **Friday, November 30, 2018 at 11:00 A.M. at The Executive Club, 439, Village Shahoorpur, P.O. Fatehpur Beri, New Delhi – 110030** and at any adjournment thereof in respect of such resolutions as are indicated below:

S. No.	Brief of Resolutions	In favor of Resolutions	Against the Resolutions
1.	To receive, consider and adopt Standalone & Consolidated Audited Financial Statements of the Company for the financial year ended 31 <sup>st</sup> March, 2018 along with reports of the Board of Directors and Auditors' thereon.		
2.	To ratify the appointment of M/s Mukesh Aggarwal & Co., Chartered Accountant, (Firm Registration No. 011393N), as the Statutory Auditor of the Company		
3.	Appointment of Ms. Rajni Gupta as an Independent Director		
4.	Appointment of Mr. Shantanu Deveshwar as Whole-Time Director		

## DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087  
 Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020  
 Tel-011-26387750  
 Email ID: info@dfsionline.com  
 Website: www.dfsionline.com

Signed this \_\_\_\_\_ day of \_\_\_\_\_ 2018.

Affix Revenue  
Stamp of Rs. 1/-

**Signature of Shareholder**

**Signature of Proxy holder(s)**

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Website: www.dfsionline.com

**Notes:**

- (1) *This form, in order to be effective, should be duly stamped, completed, signed and deposited at the registered office of the Company, not less than 48 hours before the meeting.*
- (2) *For the resolutions, statement setting out material facts, notes and instructions please refer to the notice of Annual General Meeting.*
- (3) *Appointing a proxy does not prevent a member from attending the meeting in person if he so wishes.*
- (4) *In the case of joint holders, the signature of any one holder will be sufficient, but names of all the joint holders should be stated.*

*Please complete all details including details of member(s) and proxy(ies) in the above box before submission.*

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## Form No. MGT-12

[Pursuant to section 109(5) of the Companies Act, 2013 and rule 21(1)(c) of the Companies (Management and Administration) Rules, 2014]

### BALLOT PAPER

**27<sup>th</sup> Annual General Meeting of the members of DCM Financial Services Limited to be held on Friday, November 30, 2018 at 11:00 A.M. at The Executive Club, 439, Village Shahoopur, P.O. FatehpurBeri, New Delhi – 110030:-**

Name of First Named Shareholder .....  
(In Block Letters)  
Postal Address .....

Folio No./DP ID & Client ID .....

No. of Shares held .....

Class of Shares .....

I hereby exercise my vote in respect of Ordinary/ Special Resolutions enumerated below by recording my assent or dissent to the said resolution in the following manner:

S. No.	Brief of Resolutions	In favor of Resolutions	Against the Resolutions
1.	To receive, consider and adopt Standalone & Consolidated Audited Financial Statements of the Company for the financial year ended 31 <sup>st</sup> March, 2018 along with reports of the Board of Directors and Auditors' thereon.		
2.	To ratify the appointment of M/s Mukesh Aggarwal & Co., Chartered Accountant, (Firm Registration No. 011393N), as the Statutory		

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	Auditor of the Company		
3.	Appointment of Ms. Rajni Gupta as an Independent Director		
4.	Appointment of Mr. Shantanu Deveshwar as Whole-Time Director		

**Date: 22/10/2018**

**Signature of Shareholder**

**Place: Delhi**

*\* Please tick in the appropriate column*

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## **ATTENDANCE SLIP**

**(To be handed over at the entrance of the meeting hall)**

**27<sup>th</sup> Annual General Meeting, on Friday, November 30, 2018 at 11:00 A.M.**

Name of the Member .....  
(In Block Letters)

Folio No./DP ID & Client ID .....

No. of Shares held .....

Name of Proxy  
(To be filled in, if the proxy attends instead of .....  
the member)

I, hereby certify that I am a registered shareholder/proxy for the registered Shareholder of the Company and hereby record my presence at the 27<sup>th</sup> Annual General Meeting of the Company on **Friday, November 30, 2018 at 11:00 A.M. at The Executive Club, 439, Village Shahoorpur, P.O. Fatehpur Beri, New Delhi – 110030.**

**Member's /Proxy's Signature**

*Note:*

- 1) Members are requested to bring their copies of the Annual Report to the meeting, since further copies will not be distributed at the meeting venue.*

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### **DCM FINANCIAL SERVICES LIMITED**

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**GREEN INITIATIVE IN CORPORATE GOVERNANCE**  
**E-COMMUNICATION REGISTRATION FORM**  
**(In terms of Section 20 of the Companies Act, 2013)**

Folio No. / DP ID & Client ID : .....  
.....  
.....  
Name of 1<sup>st</sup> Registered Holder : .....  
Name(s) of Joint Holder(s) : .....  
.....  
Registered Address : .....  
.....  
Email ID (to be registered) : .....

I/We shareholder(s) of **DCM Financial Services Limited** agree to receive communication from the Company in electronic mode under relevant provisions of the Companies Act, 2013. Please register my above e-mail in your records for sending communication through mail.

Signature:- \_\_\_\_\_  
(First Holder)

Date: \_\_\_\_\_

***\*Note: Shareholder(s) are requested to keep the Company informed as and when there is any change in the e-mail address.***

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# ROUTE MAP FOR 27<sup>TH</sup> ANNUAL GENERAL MEETING

