

**April 25, 2018**

To,

Corporate Relations  
BSE Limited  
Phiroze Jeejeebhoy Towers, Dalal Street,  
Mumbai-4000 01  
(Security Code : 534615)

The Manager (Listing Department)  
National Stock Exchange of India Limited  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex, Bandra (E)  
Mumbai - 400 051  
(Symbol: NECCLTD)

**SUB: CERTIFICATE UNDER REGULATION 40(9) FOR THE HALF YEAR ENDED MARCH 31, 2018 UNDER SECURITIES EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

Dear Sir(s)

Please find enclosed herewith the Certificate under Regulation 40(9) for the half year ended March 31, 2018 under Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the same on your records.

Thanking You

Yours sincerely,  
For North Eastern Carrying Corporation Limited  
For North Eastern Carrying Corporation Ltd.

*Mamta Bisht*  
Company Secretary

(Mamta Bisht)  
Company Secretary & Compliance Officer



# A. K. FRIENDS & CO.

Company Secretaries

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**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON MARCH 31, 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s North Eastern Carrying Corporation Limited** (CIN: L51909DL1984PLC019485) maintained by **M/s MAS Services Limited** (Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from October 01<sup>ST</sup>, 2017 to March 31<sup>ST</sup>, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on March 31<sup>ST</sup>, 2018:

- (A) Share Certificate(s) relating to the transfer of Shares received during the period from October 01<sup>ST</sup>, 2017 to March 31<sup>ST</sup>, 2018 as entered in the Memorandum of Transfers have been issued within 30 (thirty) days of the date of lodgment for transfer excepting those rejected on technical grounds.
- (B) Share Certificate(s) in respect of requests relating to issue of duplicate shares, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment money(ies) have been issued within 30 (thirty) days from the date of lodgment of each request.

Date: 23.04.2018  
Place: Delhi



For A. K. Friends & Co

**Ashish Kumar Friends**  
Practicing Company Secretary  
C.P. No. 4056; Mem. No.: FCS 5129