

LIL:CS:REG.40:2018-19

19-04-2018

The National Stock Exchange of India Ltd.
Listing Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai-400051

Department of Corporate Services
BSE Limited
1st Floor, Rotunda Building
P.J. Towers, Dalal Street, Fort
Mumbai - 400 001.

Company Code – LUMAXIND**Company Code – 517206**

Sub.: **Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Sir,

Please find enclosed Compliance Certificate received from Mr. Maneesh Gupta, Practicing Company Secretary, New Delhi under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31st March, 2018.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For LUMAX INDUSTRIES LIMITED


ANKITA GUPTA
COMPANY SECRETARY
M.NO. A50166



Encl : As above

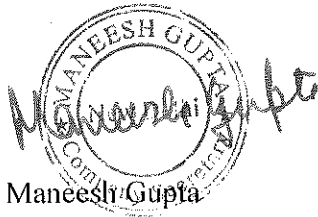
**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED MARCH 31, 2018

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Lumax Industries Limited maintained by Karvy Computershare Private Limited pertaining to transfer of equity shares of the Company for the period from October 1, 2017 to March 31, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on March 31, 2018:

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period October 1, 2017 to March 31, 2018 as entered in the Memorandum of Transfers have been issued within one month from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate, split, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies have been issued within one month of lodgment provided all required documents are in order.

Signature:



Name : Maneesh Gupta
CP No : 2945

Date: April 18, 2018
Place: New Delhi