

Regency Investments Ltd.

April 24, 2018

To,

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001 Script Code:- 540175	Calcutta Stock Exchange Limited 7, Lyons Range, Kolkata - 700001
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Dear Sir/Madam,

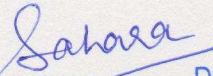
SUB: COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) & (10) OF SEBI (LODR) REGULATIONS, 2015

Please find enclosed herewith a Certificate issued under Regulation 40(9) of Securities Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, signed by Mr. Amit R. Dadheech, Practicing Company Secretary in respect of the Share transfer and allied Operation relating to the Company during the Period i.e. from **October 01, 2017 to March 31, 2018**.

Request you to kindly take this letter on record and acknowledge the receipt.

Thanking you

For Regency Investments Limited
For Regency Investments Ltd.


Sahara Khanna Director
Whole- Time Director
DIN: - 07682859



CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATION
AND DISCLOSURE REQUIREMENTS) REGULATION, 2015

FOR THE QUARTER AND YEAR ENDED ON 31ST MARCH, 2018

The Board of Directors,
Regency Investments Limited
Unit No 201-B, 2nd Floor, Global Business Park,
Waraich Farm Compound, NH-22,
Delhi-Ambala-CHD Road Zirakpur,
Mohali - 140 603 Punjab

I have examined all Share Transfer Deeds, Memorandum of Transfer, Registers, files and other documents relating to M/s. Regency Investments Limited maintained by M/s. MAS Services Limited, (the Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from October 01, 2017 to March 30, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015, by M/s. Regency Investments Limited and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on March 31, 2018.

- A) Share Certificate(s) relating to the transfer of Shares received during the period from October 01, 2017 to March 31, 2018 as entered in the Memorandum of Transfers have been issued within one month of the date of lodgment for transfer excepting those rejected on technical grounds.
- B) There was no request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period under review.
- C) No request for demat or remat has been received during half year ended on March 31, 2018.

For Amit R. Dadheech & Associates


Amit R. Dadheech
ACS 22889, CP: 8952

Date: April 24, 2018
Place: Mumbai