



SONATA SOFTWARE LIMITED

10<sup>th</sup> April, 2018

National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [neaps@nse.co.in](mailto:neaps@nse.co.in)  
Stock Code - SONATSOFTW

BSE Limited  
P.J. Towers, Dalal Street  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [listing.centre@bseindia.com](mailto:listing.centre@bseindia.com)  
Stock Code - 532221

Dear Sir/Madam,

**Sub: CERTIFICATE FROM THE PRACTICING COMPANY SECRETARY**  
**Ref: REGULATION 40 OF THE SEBI (LODR) REGULATIONS, 2015**

With reference to the above, please find enclosed copy of Compliance Certificate dated 6<sup>th</sup> April, 2018 for the half year ended 31<sup>st</sup> March, 2018 as required under Regulation 40 of the SEBI (LODR) REGULATIONS, 2015 issued by Practicing Company Secretary.

This is for your information and records.

Thanking you,

Very truly yours,  
For **Sonata Software Limited**

**Kundan K. Lal**  
**Company Secretary and Compliance Officer**



**P Sriram & Associates**  
Practising Company Secretaries

To,  
The Board of Directors  
Sonata Software Limited  
208 T V Indl Estate, 2nd Floor,  
S. K. Ahire Marg, Worli, Mumbai - 400030

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**



**FOR THE HALF YEAR ENDED 31<sup>st</sup> March 2018**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. SONATA SOFTWARE LIMITED** bearing **Corporate Identification Number L72200MH1994PLC082110** maintained by **M/s. Karvy Computershare Pvt Ltd**, pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October 2017 to 31<sup>st</sup> March 2018** for the purpose of issuing a Certificate as per regulation 40 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 entered into by the Company, with the listed stock exchanges and based on the information provided by the Company and We hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March 2018**.

- A) Share Certificate relating to the Share Transfer Deeds received during the period from **1<sup>st</sup> October 2017 to 31<sup>st</sup> March 2018** as entered in the Memorandum of Transfers have been issued within fifteen days from respective date of lodgment of each deed except for those rejected on technical grounds.
- B) Share Certificates in respect of requests for Exchange of Share certificate have been issued within one month of lodgment and based on the records made available the Company has not received any request for Split of Shares, Consolidation, Sub-Division Renewal or Endorsement of Calls / Allotment Monies during the period from **1<sup>st</sup> October 2017 to 31<sup>st</sup> March 2018**

**For M/s. P.Sriram & Associates**

Date : 06.04.2018  
Place: Chennai



**P. Sriram**  
**Proprietor**

**Membership Number – 4862**  
**Certificate of Practice Number - 3310**