



27th April, 2018

<p>The Head- Listing Compliance BSE Limited, PhirozeJeejeebhoy Towers, Dalal Street, FortMumbai- 400001</p> <p>Security Code: 511611</p>	<p>The Head- Listing Compliance National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400 051</p> <p>Stock Code: DCMFINSERV</p>	<p>The Manager The Calcutta Stock Exchange Ltd. 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001</p>
--	--	---

Subject: Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015

Dear Sir,

Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on **31st March, 2018** in compliance with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above information for your records.

Thanking you,

Yours Faithfully,

For **DCM Financial Services Limited**

Digitally signed by POONAM KUMARI
DN: c=IN, o=Personal, postalCode=110096, st=Delhi,
2.5.4.20=35639e538158d1305f060a827e8c2b2a32451289c74247e8e00
140ff4d72818f,
serialNumber=3ca37f7dec290a07a6c926c5e59b5e46b495d5f43f1d04
b9b729ce8e6705, cn=POONAM KUMARI
Date: 2018.04.27 14:09:43 +05'30'

(Poonam Kumari)
(Company Secretary & Compliance Officer)

Encl: as stated

DCM FINANCIAL SERVICES LIMITED

CIN 65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.com

Website: www.dfsionline.com



JAIN P & ASSOCIATES

(Erstwhile Jain P & Co.)

Company Secretaries

C-273, II Floor, Sector-63, Noida-201301, Uttar Pradesh

E-Mail: preeticsjain@gmail.com, Ph. +91-9599473294

CERTIFICATE UNDER REGULATION 40(9) OF THE
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 31ST MARCH, 2018

I have examined all activities in relation to both Physical and Electronic Transfer facility relating to **DCM Financial Services Limited** maintained by **MCS Share Transfer Agent Limited** pertaining to transfer of equity shares of the Company for the period from **1st October, 2017 to 31st March, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by **DCM Financial Services Limited** with exchanges and based on the information provided by the company, I hereby certify that the Company has delivered during half year ended **31st March, 2018**.

Share/Debenture Certificate(s) relating to the Transfer of Share/Debenture received during the period from **1st October, 2017 to 31st March, 2018** as entered in the Memorandum of Transfers have been issued within thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For Jain P & Associates,
Company Secretaries**

Digitally signed by PREETI JAIN
DN: c=IN, o=Personal, postalCode=110032, st=Delhi,
2.5.4.20=9774bbb99efb1138b5d7cd25af925048ef6907e
1777570aa4710adf3aead84e3,
serialNumber=656888f1ec827627a132fb3aaebfa4f12aa
3306f6dccc65a37410a8783667319, cn=PREETI JAIN
Date: 2018.04.27 14:11:19 +05'30'

Preeti Jain

Mem. No. 41759

C.O.P. No. 17079

Date: 27.04.2018

Place: Noida