



Date: 27/04/2018

The Head- Listing Compliance <b>BSE Limited,</b> PhirozeJeejeebhoy Towers, Dalal Street, FortMumbai- 400001  <b>Security Code: 511611</b>	The Head- Listing Compliance <b>National Stock Exchange of India Ltd.</b> Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400051  <b>Stock Code: DCMFINSERV</b>	The Manager <b>The Calcutta Stock Exchange Ltd.</b> 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001
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**Subject: Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Dear Sir/Ma'am,**

We are appending below the Compliance Certificate for the half year ended **31<sup>st</sup> March, 2018** received from our Registrar and Share Transfer Agent, MCS Share Transfer Agent Limited, as required under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:

Kindly take it on record.

**FOR DCM FINANCIAL SERVICES LIMITED**

**(Poonam Kumari)**  
**Company Secretary and Compliance officer**



**DCM FINANCIAL SERVICES LIMITED**

CIN 65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: [info@dfsionline.com](mailto:info@dfsionline.com)

Website: [www.dfsionline.com](http://www.dfsionline.com)

**COMPLIANCE CERTIFICATE**

*[Pursuant to regulation 7 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015]*

We, the undersigned, hereby certify that all activities in relation to both physical and electronic share transfer facilities are maintained by Registrar and Share Transfer Agent i.e. MCS Share Transfer Agent Limited having their office at F- 65, Okhla Industrial Area, Phase-I, New Delhi – 110020. We confirm that MCS Share Transfer Agent Limited is registered with Securities and Exchange Board of India to act as Registrar and Share Transfer Agent.

Further, we wish to state that the Company i.e. DCM Financial Services Limited is adopting “April to March” as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended March 31, 2018 i.e. for the period from October 1, 2017 to March 31, 2018 pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For DCM Financial Services Limited



Company Secretary



Date: 06.04.2018

Place: New Delhi

For MCS Share Transfer Agent Limited



S Biswas

Authorized Signatory

