



April 18, 2018

<b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037/ BSE Scrip Code: 540776</b>	<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237 NSE Symbol: 5Paisa</b>
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Dear Sir,

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended March 31, 2018.**

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended March 31, 2018.

Please take the above mentioned into your records.

For **5paisa Capital Limited**



**Roshan Dave**

**Company Secretary**

Email: [csteam@5paisa.com](mailto:csteam@5paisa.com)

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**5paisa Capital Limited**

**Corporate Identity Number: U67190MH2007PLC289249**

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane – 400604

E-mail: [support@5paisa.com](mailto:support@5paisa.com) • Website: [www.5paisa.com](http://www.5paisa.com)

## Company Secretaries

Ref. \_\_\_\_\_

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**


**FOR THE HALF YEAR ENDED 31.03.2018.**

I have examined all Share Transfer Deeds, Memorandum of Transfers Registers, files and other documents relating to **5PAISA CAPITAL LIMITED** maintained by M/s Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to 5Paisa Capital Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request for transfer of shares during half year ended on 31<sup>st</sup> March, 2018.

Date: 18/04/2018

Place: Mumbai

Signature: \_\_\_\_\_

  
18/04/2018

**NILESH G. SHAH.**

**Company Secretary**

**C. P. No: 2631**

