

11th April, 2018

To,
Bombay Stock Exchange Limited
Listing Department
P. J. Towers, Dalal Street
Mumbai-400001

Dear Sir,

Sub: Submission of Certificate under Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (earlier Clause 47(c) of the Listing agreement).

Pursuant to the Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (earlier Clause 47(c) of the Listing Agreement), we are sending herewith a copy of the Certificate issued by Mr. Veeraghavan. N, Practicing Company Secretary dated 10.04.2018 and received on 11th April, 2018 for the half year ended on 31st March, 2018.

Kindly acknowledge the receipt of the same and take the same on record.

Thanking you,

Yours faithfully,
For **Emkay Global Financial Services Limited**



B. M. Raul
Company Secretary & Compliance Officer

Encl : As above



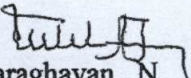
Veeraraghavan.N
Practicing Company Secretary
B.Sc., LLB., A.C.S.

First Maritime Private Limited
201 Gheewala Building
Opp. New India Co-op Bank Limited
M.P. Road, Mulund – East
Mumbai - 400081
Ph: 2563 2653 Mob: 9821528844
Email : nvr54@rediffmail.com

**CERTIFICATE UNDER REGULATION 40 OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015 (EARLIER CLAUSE 47 OF LISTING
AGREEMENT)**

FOR THE HALF YEAR ENDED on 31st March, 2018.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/S. Emkay Global Financial Services Limited maintained by M/S Link Intime India Private Limited pertaining to transfer of equity shares of the Company for the period from 1st October 2017 to 31st March 2018 for the purpose of issuing a Certificate as per Regulation 40 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (earlier Clause 47(C) of the Listing Agreement) entered into by M/S. Emkay Global Financial Services Limited with BSE Ltd and National Stock Exchange of India Limited (NSE) and based on the information provided by the Company, I hereby certify that the Company has, during half year ended on 31st March 2018, not received any application / document for registration of transfer, subdivision, consolidation during the aforementioned period.


Veeraraghavan . N
C. P .No. 4334



Date : 10th April 2018