



Excel Industries Ltd.

Corporate & Registered Office



27th April, 2018

BSE Ltd.
Listing Department,
Pheeroze Jeejeebhoy Towers,
Dalal Street,
Fort,
Mumbai-400 001

National Stock Exchange of India Ltd.
Listing Department,
Exchange Plaza,
Bandra-Kurla Complex,
Bandra (E),
Mumbai-400 051

Sub: Regulation 40(9) – Certificate from Practicing Company Secretary

Ref: BSE Script Code: 500650; NSE Script Code: EXCELINDUS

Dear Sir,

Pursuant to Regulation 40(9) of the (Listing Obligation and Disclosure Requirements) Regulations 2015, please find enclosed Certificate received from Practicing Company Secretary for the period from 1st October, 2017 to 31st March, 2018 certifying that all the share certificates have been issued by the Company within 30 days from the lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsements of calls/allotment monies.

Kindly take this on your record.

Thanking you

Yours faithfully,
For Excel Industries Limited

S K Singhvi
Company Secretary

Encl: As above



PRASHANT DIWAN
B.Com, LL.B, FCS, AICWA
Practicing Company Secretary

B-703/704, Anand Sagar
M. G. Road, Kandivali (W), Mumbai 400067

CERTIFICATE UNDER REGULATION 40(9) OF THE
SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH, 2018

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Excel Industries Limited** maintained by **M/s. Link Intime India Private Limited** pertaining to transfer of equity shares of the company for the period from **1st October, 2017 to 31st March, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, by, **M/s. Excel Industries Limited** and based on the information provided by the Company, I hereby certify that the Company has delivered following during the half year ended on **31st March, 2018**.

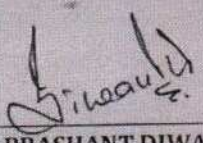
- A) Share Certificate(s) relating to the registration of Share Transfers form(s) and Deletion of Name(s) received during the period from **1st October, 2017 to 31st March, 2018** as entered in the Memorandum of Transfers/ Share Inward Register have been issued within fifteen days from respective date of lodgment of each Form except those rejected on technical grounds.
- B) Share Certificate(s) relating to the registration of Share Transmission(s) received during the period from **1st October, 2017 to 31st March, 2018** as entered in the Memorandum of Share Inward Register have been issued within Twenty One days from respective date of lodgment of each Form except those rejected on technical grounds.
- C) Share Certificate(s) relating to request for the Consolidation & issue of Duplicate Share Certificate(s) during the period from **1st October, 2017 to 31st March, 2018** as entered in the Memorandum of Share Inward Register have been issued within Thirty days from respective date of lodgment.

Further, there were no requests received for registration of transposition, sub-division, renewal, split, remat, exchange of Share Certificates or endorsement of calls/allotment monies etc during half year period ended as on **31st March, 2018**.

Further, pursuant to Section 124(6) of the Companies Act, 2013 and Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, the Company had issued new share certificates in lieu of old share certificate for the purpose of transfer to IEPF with respect to 32,956 Equity Shares. Accordingly, the said Equity Shares were transferred to IEPF account

This certificate is issued for the six months period ended **31st March, 2018** pursuant Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015.




CS PRASHANT DIWAN
PRACTISING COMPANY SECRETARY

Date: 26th April, 2018
Place: Mumbai