



Empire Industries Limited

SEC:SHR:2018-2019(0409)

April 19, 2018

BSE Limited
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai - 400 023.

Company Scrip Code: 509525

Dear Sirs,

**Re: Submission of Compliance Certificate under Regulation 40 (9)
for the Half Year ended 31.03.2018**

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the Compliance Certificate dated 19th April, 2018 from the Practicing Company Secretary, Ms. Deepa Gupta, for the half year ending 31st March, 2018.

Kindly acknowledge receipt.

Thanking you,

**Yours faithfully,
For EMPIRE INDUSTRIES LIMITED**

**S. C. NANDA
Director-Finance & Company Secretary**

Encl:

CIN: L17120MH1900PLC000176

Regd. Office: Empire Complex, 414, Senapati Bapat Marg,
Lower Parel, Mumbai 400 013, India.

Tel.: 66555453 • Fax : 24939143

E-mail: email@empiresecretarial.com • Web : www.empiremumbai.com

Divisions

Empire Machine Tools MFTM • Empire Machine Tools MCAT • Vitrum Glass
Empire Industrial Equipment • Empire Vending (GRABBIT) • Empire Foods
The Empire Business Centre • Empire Industrial Centrum

DEEPA GUPTA
Company Secretary

Address:-512, Bawla Bldg. D-1, Muktai CHS, D. L. Marg, Chinchpokli, Mumbai 400 012.
Mob: 09322697460 Email: deepaguptacs@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR)
REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH 2018

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Empire Industries Limited** maintained by M/s. Bigshare Services Pvt Ltd, having its office at Bharat Tin Works Building 1st Floor Opp. Vasant Oasis, Next to Keys Hotel Marol Maroshi Road, Andheri East, Mumbai 400059, the Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from **1st October 2017 to 31st March 2018** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company we hereby certify that:

- A) Share Certificates relating to the transfer of Shares received during the period from **1st October 2017 to 31st March 2018** as entered in the Memorandum of Transfers have been issued within one month of the respective date of lodgement for transfer except those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgement.

Date: 19th April, 2018
Place: Mumbai



A handwritten signature in black ink, appearing to be "Deepa Gupta".

Deepa Gupta
Company Secretary
M. No. 20860
C.P. No. 8168