

DB (International) Stock Brokers Ltd.

NSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS - BSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
MCX-SX-CAPITAL MARKET, F&O & CURRENCY SEGMENTS - DEPOSITORY PARTICIPANT-CDSL, IPO, MUTUAL FUND & BONDS

402, NEW DELHI HOUSE, 27, BARAKHAMBA ROAD, NEW DELHI - 110 001 TEL.: 23353795, 96, 97, FAX: 011 - 23736162 E-mail: compliance@dbonline.in CIN: L67120HR1992PLC035349

11th April, 2018

Department of Corporate Services Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers, Dalal Street Mumbai-400001

Sub: Submission of Compliance Certificate under Regulation 40(9) & (10) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

Dear Sir.

Please find enclosed the Certificate under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 duly certified by the Practicing Company Secretary for the half year ended on 31st March, 2018.

Kindly take the above on record.

Thanking you,

Yours faithfully,

For DB (International) Stock Brokers Limited

Himanshi Mittal Compliance Officer Encl: As Above

Regd. Off.: 756, Sector - 23 A, Gurgaon - 122 017



SACHIN SINHA & ASSOCIATES Prassan Navin Kumar Sinha Company Secretaries

> G-404, Real Aura, Opposite Baliyadev Mandir, Chandkheda, Ahemdabad-382424 Mob: 7836842360 E-mail: csprassan@gmail.com

CERTIFICATE UNDER REGULATION 40(9) & (10) OF THE SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 31ST MARCH, 2018

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *DB International Stock Brokers Limited, having its office at 402, New Delhi House, 27 Barakhamba Road New Delhi-110001* maintained by *Abhipra Capital Limited, having its office at Abhipra Complex, A-387, Dilkhush Industrial Area, GT Karnal Road, Delhi-110033* pertaining to transfer of equity shares of the company for the period from I^M *October, 2017 to 31^M March, 2018* for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 based on the information provided and records placed before me I hereby certify that:

Share Certificate(s) relating to the transfer of Shares received during the period from 1st October, 2017 to 31st March, 2018 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Dated: 4th April, 2018 Place: New Delhi

For Sachin Sinha & Associates

Prassan Navin Cumar S (Practicing Company Set Membership No. Acceptance

C.P No: 18822