

# SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai 400071

Tel No.: +91-22-25292152/54/55 Fax No: +91-22-25297423

Website : www.summitsecurities.net Email : summitsec@gmail.com

April 13, 2018

<b>National Stock Exchange of India Limited</b> Exchange Plaza, 5 <sup>th</sup> Floor Plot No C/1, G Block Bandra Kurla Complex Bandra East Mumbai 400 051	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai 400 001
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**Re: Security Code: 533306, Security ID/Symbol: SUMMITSEC**

**Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Pursuant to Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the Certified True Copy of certificate for the half year ended March 31, 2018 issued by M/s Parikh & Associates, Company Secretaries.

Kindly acknowledge receipt.

Thanking You,

Yours faithfully,

**For Summit Securities Limited**



Jiya Gangwani

**Company Secretary**

Encl. As stated above.

**PARIKH & ASSOCIATES**  
**COMPANY SECRETARIES**

Office :  
111, 11th Floor, Sai-Dwar CHS Ltd,  
Sab TV Lane, Opp Laxmi Industrial Estate  
Off Link Road, Above Shabari Restaurant,  
Andheri (W), Mumbai : 400 053  
Tel. : 26301232 / 26301233 / 26301240  
Email : cs@parikhassociates.com  
parikh.associates@rediffmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2018**

We have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to SUMMIT SECURITIES LIMITED maintained by TSR Darashaw Limited, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that :

A) 1.a. the Company has delivered during half year ended on 31<sup>st</sup> March, 2018 share certificates relating to the transfer of shares received during the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds;

1.b. the Company has delivered during half year ended on 31<sup>st</sup> March, 2018 share certificates in respect of request relating to consolidation received during the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018, within thirty days, from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests for renewal, sub-division (split), exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: ---

Place: Mumbai  
Date: April 10, 2018

For Parikh & Associates



P.N.Parikh  
FCS: 327 CP: 1228

**CERTIFIED TRUE COPY**

**For Summit Securities Limited**

*Diya*  
Company Secretary