

April 12, 2018

To,

The Manager  
Department of Corporate Services  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

The Manager  
Listing Department  
**National Stock Exchange of India Limited**  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No:- C/1, G Block  
Bandra Kurla Complex,  
Mumbai- 400 051

**BSE Scrip Code:- 531892**

**NSE Symbol:- KHANDSE**

**SUB: CERTIFICATE OF COMPLIANCE FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2018**

**REF.:- REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015**

Dear Sir,

Please find enclosed herewith certificate of compliance under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on March 31, 2018 received from M/s. Bhunesh Bansal & Associates, Practicing Company Secretary.

Kindly update the same on your records.

Thanking you,

Yours faithfully,  
**For Khandwala Securities Limited**



**Abhishek Joshi**  
**Company Secretary & Compliance Officer**

Encl: a/a

# BHUWNESH BANSAL & ASSOCIATES

## Company Secretaries

002, Dreamland Park C Wing CHS Ltd., Gokuldhara Road, Near Vijay Park, Mira Road (E), Thane - 401 107  
Cell No: 07738 181976 Email: cabbansal@gmail.com

### The Board of Directors

M/s. Khandwala Securities Limited  
Ground Floor, Vikas Building  
Green Street, Fort, Mumbai 400 023

Dear Sirs,


### CERTIFICATE UNDER SUB-REGULATION (9) OF REGULATION 40 OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

### FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2018.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to M/s. Khandwala Securities Limited (hereinafter referred to as "Company") maintained by M/s. Karvy Computershare (P) Limited (hereinafter referred to as "RTA") of the Company pertaining to transfer of Equity Shares of the company for the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 for the purpose of issuing a Certificate as per Sub-Regulation (9) of Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by Khandwala Securities Limited with the Stock Exchanges and based on the information provided by the Company, We hereby certify that during half year ended on 31<sup>st</sup> March, 2018:

- A) There were no requests received by the Company for transfer of Share Certificates, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies during the half year ended from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018.

For Bhwnesh Bansal & Associates  
Company Secretaries

  
Bhwnesh Bansal  
Proprietor  
C.P. No. 9089

Place: Mumbai  
Date: 11.04.2018

