

18<sup>th</sup> April, 2018

To,  
The Manager,  
Corporate Relationship Department,  
BSE Limited,  
Dalal Street,  
Mumbai.

To,  
The Manager,  
The National Stock Exchange of India Ltd.  
Bandra Kurla Complex,  
Mumbai.

Dear Sir,

**Ref: Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

We are enclosing Certificate issued by Mr. U.C. Shukla, Practising Company Secretary, regarding transfer/dispatch of share certificate for the six months' period ended 31<sup>st</sup> March, 2018 under regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please acknowledge receipt.

Thanking you,

Yours faithfully,  
For ALICON CASTALLOY LTD.



(AUTHORISED SIGNATORY)

Encl: as above

# UPENDRA SHUKLA

B. Com., F. C. S.

Company Secretary

504, Navkar,  
Nandapatkar Road,  
Vile Parle East, Mumbai - 400 057.  
Resi : 2611 8257  
Mob.: 98211 25846  
E-mail : ucshukla@rediffmail.com

The Company Secretary,  
ALICON CASTALLOY LIMITED,  
Gat No. 1426  
Village Shikrapur,  
Taluka Shirur,  
Dist- Pune


**Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2018.**

I have examined all the Share Transfer Deeds, Register of Shares Transfer, Register of Members, files and other documents relating to Alicon Castalloy Limited, maintained by M/s. Universal Capital Securities Pvt. Ltd., Share Transfer Agents, pertaining to transfer of Equity Shares of the Company for the period from 01<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that –

A) Share Certificates relating to the transfer/transmission of Shares received during the period from 01<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 as entered in the Shares Transfer Register, have been issued within thirty days of the date of lodgment for transfer except those rejected on technical grounds. There was no case of duplicate, replacement, split, sub- division, consolidation, renewal or endorsement of calls/allotment monies during the period from 01<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018.

B) Any other information: Nil



  
(U.C. SHUKLA)  
COMPANY SECRETARY  
FCS: 2727/CP:1654

Date: 18/04/2018  
Place: Mumbai