



# NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no: L65921MH1985PLC284739

Registered Office : 3rd Floor, Bhagyodaya Building, 79 Nagindas Master Road, Fort, Mumbai - 400023, Maharashtra  
Tel/fax: 022-22703249 E-mail : ncl.research@gmail.com Website :- www.nclfin.com

April 30, 2018

**The Deputy Manager**  
Department of Corporate Services  
**BSE Limited**  
P. J. Towers, Dalal Street, Fort  
Mumbai – 400 001

Ref : Scrip Code 530557

Sub: Submission of Certificate u/r 7(3) of SEBI LODR Regulations 2015 for the Half Year ended on 31<sup>st</sup> March 2018

Respected Sir or Madam,

With reference to the above captioned matter, we are enclosing along with this letter, Certificate u/r 7(3) of SEBI LODR Regulations 2015, duly signed by RTA and Compliance Officer of the Company for the half year ended on **31<sup>st</sup> March 2018**.

Kindly take the same on your record & oblige.

Thanking You,

Yours truly,  
For NCL RESEARCH & FINANCIAL SERVICES LIMITED

**VIJAY JAIDEO PODDAR**  
DIN : 00339268  
MANAGING DIRECTOR

Enclosed : As stated above



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To  
BSE Limited  
P. J. Towers, Dalal Street  
Fort, Mumbai - 400001

Sub : Compliance Certificate under Regulation 7(3) of SEBI LODR Regulations 2015

Dear Sir,

We being the Company Secretary of the Company and the Authorized Representatives of the Share Transfer Agents do hereby certify that the **M/s. NCL Research & Financial Services Limited** having its Registered Office at 3<sup>rd</sup> Floor, Bhagyodaya Building, 79, Nagindas Master Road, Fort, Mumbai-400 023 has appointed **M/s ABS Consultants Private Limited** as its Share Transfer Agents (STA) having its Registered Office/Place of activities at 99, Stephen House, 6<sup>th</sup> Floor, 6, BBD Bag (East), Kolkata-700 001 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001286.

Further, **M/s ABS Consultants Private Limited** has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LODR) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of **M/s. NCL Research & Financial Services Limited** during the period from October 1, 2017 to March 31, 2018 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of **M/s. NCL Research & Financial Services Limited**.

For & on behalf of  
**M/s. NCL Research & Financial Services Limited**

Swati Agarwal  
Compliance Officer

Place : Mumbai  
Date : 26/04/2018

For & on behalf of  
**M/s. ABS Consultants Private Limited**

Director / Authorized Signatory

Place : Kolkata  
Date : 26/04/2018

