

April 12, 2018

**Corporate Relationship Department Bombay Stock Exchange Limited** Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai 400001

Capital Markets-Listing National Stock Exchange of India Ltd Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai 400051

Dear Sir,

# Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

#### Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated April 11, 2018, from M/s. Pramod Shah & Associates, Practising Company Secretaries, for the Half-Year ended March 31, 2018.

This is for your information and records.

Thanking you,

Yours faithfully, For Prime Securities Limited Ajay Shah Company Secretary (ACS-14359)

Prime Securities Limited 1109 / 1110, Maker Chambers V, Nariman Point, Mumbai 400 021. CIN: L67120MH1982PLC026724 www.primesec.com

Tel : +91-22-6184 2525 Fax: +91-22-2497 0777

## Pramod S. Shah & Associates

Practising Company Secretary

3<sup>rd</sup> Floor, LA-SHEWA Bldg., Next to Fedex, 233, P.D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai 400 001. Tel. : 91-22-2271 7700/ 91-22-2270 1040 Email : saurabhshah@psaprofessionals.com Website :cspsa.co.in

### CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015.

#### FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2018.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited (the RTA)** pertaining to transfer of equity shares of the Company for the period from 01<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by, **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March, 2018**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has not received any request for issue of duplicate share certificates and has received requests for transmission of shares during the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 and the certificates for the same has been issued within thirty days from respective date of lodgment.

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For Pramod S. Shah & Associates Pramod S. Shah - Partner C. P. No. : 3804 Membership No. 334

Date: 11<sup>th</sup> April, 2018 Place: Mumbai