



**VIKALP
SECURITIES LIMITED**

To,
The Asst. General Manager,
Department of Corporate Services,
The Bombay Stock Exchange, Mumbai
PhirozeJeejeebhoy Towers
Dalal Street,
Mumbai – 400001.

Date: 21.04.2018

Sub:-Compliance certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on 31st March, 2018

Dear Sir,

This is with regard to VIKALP SECURITIES LIMITED (ISIN INE186E01011 and SECURITY CODE - 531334). With reference to the above mentioned subject, pursuant to the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are attaching the Certificate for the year ended on 31st of March, 2018.

Kindly take above document into your record.

Enclosure: Compliance certificate of the Company for the year ended on 31st March, 2018 as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Thanks & Regards

For Vikalp Securities Limited

Arun Kataria
Managing Director
DIN : 00687890

**ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES**

“Kan Chambers”
Office No. 811, 8th Floor,
14/113, Civil Lines,
Kanpur-208001
Ph: 0512-2332397
Email: adesh.tandon11@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATION, 2015
FOR THE HALF YEAR ENDED 31st MARCH, 2018**

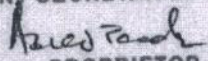
We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/S VIKALP SECURITIES LIMITED, having its Registered office at “25/38, KARACHI KHANA KANPUR- 208001 and paid up capital of Rs. 3,05,19,000/- (RUPEES THREE CRORE FIVE LAKHS NINETEEN THOUSAND ONLY) divided into 30,51,900 (THIRTY LAKHS FIFTY ONE THOUSAND NINE HUNDRED ONLY) equity shares of Rs. 10/- each maintained by M/S SKYLINE FINANCIAL SERVICES PRIVATE LIMITED pertaining to transfer of equity shares of the company for the period beginning from 1st OCTOBER 2017 TO 31st MARCH, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 entered into by, M/S VIKALP SECURITIES LIMITED with BSE and CSE and based on the information provided by the Company, we hereby certify that the Company has during half year ended on 31st MARCH, 2018:

A) There were no requests received relating to the physical transfer of Shares during the period from 1st OCTOBER, 2017 to 31st MARCH, 2018. Therefore the point of issuing Share Certificate(s) does not arise. Further, during the period under review no requests were received for sub-division, renewal, exchange and consolidation of share certificates and endorsements of calls/allotment monies.

B) No REMAT requests were received during the period.

C) The company has not issued any debentures hence the reporting of the same is not applicable

Date: 19.04.2018
Place: KANPUR

Adesh Tandon and Associates
For ADESH ~~Company Secretaries~~
COMPANY SECRETARIES

PROPRIETOR
Adesh Tandon
Membership No. - 2253
C.P. No. - 1121